Mutually Recursive Partial Functions*

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Abstract

We provide a wrapper around the partial-function command which supports mutual recursion.

Our results have been used to simplify the development of mutually recursive parsers, e.g., a parser to convert external proofs given in XML into some mutually recursive datatype within Isabelle/HOL.

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1 Introduction

The partial function command of Krauss [1] turns monotone monadic function specifications into equational theorems. Here, monadic means that the output type of the function must be a monad like the option-monad. This is required to prohibit specifications like

\[ f x = 1 + f x \]

which would immediately lead to a contradiction. Since the command produces unconditional equations, it is extremely helpful in writing possibly

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nonterminating functions which are amenable to code generation. For example, using partial-function, one can write a recursive parser in Isabelle/HOL and can then use it in several target languages—without having to struggle with a tedious termination proof which might have to reason about the internal state of the parser.

Unfortunately, the command currently does not support mutually recursive functions, which however would be a convenient feature when writing parsers for mutually recursive datatypes. To be more precise, a specification of a partial function has to be of the following shape

\[ f \xrightarrow{x}s = F f \xrightarrow{x}s \]  

where \( x \) is a sequence of distinct variables and \( F \) is an arbitrary monotone functional that may depend on \( f \) and \( x \).

For mutually recursive functions we would like to specify functions in the more general form

\[
\begin{align*}
  f_1 \xrightarrow{x}s_1 &= F_1 f \xrightarrow{s}s_1 \\
  &\vdots \\
  f_n \xrightarrow{x}s_n &= F_n f \xrightarrow{s}s_n
\end{align*}
\]  

where \( f \xrightarrow{s}s = f_1, \ldots, f_n \) and \( x \) are the individual arguments to each of the functions \( f_i \).

In the following, we describe our wrapper around the partial function command which supports mutual recursion. We first synthesize a global function \( g \) from the specifications in (2) which itself has a defining equation in the form of (1). Then we register \( g \) and derive the defining equation for \( g \) as theorem in Isabelle/HOL using partial-function. Afterwards, it will be easy to define each \( f_i \) in terms of \( g \), and finally derive the equations in (2) as theorems.

Let us now consider the details. Assume each \( f_i \) has a type \( \text{in}_{i,1} \Rightarrow \ldots \Rightarrow \text{in}_{i\text{,ar}(f_i)} \Rightarrow \text{out}_{f_i} \text{ monad} \), where for each \( f \), \( \text{ar}(f) \) is the arity of \( f \), and \( \text{monad} \) is the common monad. For \( g \) there will only be one input, and this input has type \( (\text{in}_{f_1}) + \ldots + (\text{in}_{f_n}) \): each sequence of input types \( \text{in}_{i,1}, \ldots, \text{in}_{i\text{,ar}(f_i)} \) is first transformed into a single argument of type \( (\text{in}_{f_i}) := \text{in}_{i,1} \times \ldots \times \text{in}_{i\text{,ar}(f_i)} \), and afterwards the sum type is used to distinguish between the inputs of the individual functions. Similarly, the output type of \( g \) will be \( (\text{out}_{f_1} + \ldots + \text{out}_{f_n}) \text{ monad} \). Note that we did not choose \( \text{out}_{f_1} \text{ monad} + \ldots + \text{out}_{f_n} \text{ monad} \) as output of \( g \) as it is not monadic, and thus, \( g \) would not be definable via partial-function.

Next, we define \( g \) via a single equation which can then be passed to partial-function. Here, we have to
• convert between tuples and sequences of arguments via currying and uncurrying. To this end, we use the predefined \texttt{curry}-function for currying and for uncurrying we perform pattern matching in expressions like $\lambda(xs).h \overline{x}s$ which take a tuple of variables as argument and then feed these variables sequentially to some function $h$.

• convert between argument and sum-types. To this end, we use constructors $\texttt{inj}_i$ of type $\alpha_i \Rightarrow \alpha_1 + \ldots + \alpha_i + \ldots \alpha_n$, and destructors $\texttt{proj}_i$ which work in exactly the opposite direction. Moreover, we perform case-analyses via pattern matching on the $\texttt{inj}_i$’s. Note that internally each $\texttt{inj}_i$ is encoded via repeated usage of the constructors $\texttt{Inl}$ and $\texttt{Inr}$ of Isabelle/HOL’s \texttt{sum}-type, and similarly we nest $\texttt{Projl}$ and $\texttt{Projr}$ to encode arbitrary $\texttt{proj}_i$-functions.

• work within the monad to combine the various result types into a single one. To this end, we demand that there is some \texttt{map-monad}-function which lifts an operation $\alpha \Rightarrow \beta$ to a function of type $\alpha \texttt{ monad} \Rightarrow \beta \texttt{ monad}$. In general, these mappings may also take several functions as input, depending on the number of type-variables of the monad-constructor. For each kind of monad that should be supported by our method, a user-defined \texttt{map-monad} function can be registered. It is important, to also register a monotonicity lemma of each \texttt{map-monad} function within the partial function package. Otherwise, monotonicity proofs for $g$ will most likely fail.

Putting everything together, we setup the following equation

\begin{align*}
g \, x = \text{case } x \text{ of} & \\
\text{inj}_1 \, x&t \Rightarrow \text{map-monad } \text{inj}_1 \, ((\lambda(xs).F_1 \overline{fs} \overline{x}s) \, xs_t) & \quad (3) \\
\mid & \ldots \\
\mid \text{inj}_n \, x&t \Rightarrow \text{map-monad } \text{inj}_n \, ((\lambda(xs).F_n \overline{fs} \overline{x}s) \, xs_t)
\end{align*}

where $\overline{fs}$ is the sequence of abbreviations $f'_1, \ldots, f'_n$ and where

\begin{equation}
f'_i = \text{curry } (\lambda xs_t. \text{map-monad } \text{proj}_i \, (g \, (\text{inj}_i \, xs_t)))
\end{equation}

Once, $g$ has been defined using \texttt{partial-function}, we obtain Equality (3) as a theorem. Afterwards, it is easy to define

\begin{equation}
f_i = \text{curry } (\lambda xs_t. \text{map-monad } \text{proj}_i \, (g \, (\text{inj}_i \, xs_t)))
\end{equation}

and it remains to derive the equations in (2) as theorems. To this end, first note the difference in (4) and (5). In the former, $g$ is a free variable which should be defined as a constant at that point, whereas $g$ is already the newly defined constant in (5). Obviously, at this point one can now replace the
abbreviations (4) in Equation (3) by the real constants \( f_i \) via the defining equations (5). This yields the following modified theorem for \( g \) where now \( \vec{fs} \) is the sequence \( f_1, \ldots, f_n \).

\[
\begin{align*}
g \ x = \text{case } x \text{ of} \\
\quad \text{inj}_1 \xs \Rightarrow \text{map-monad inj}_1 ((\lambda(x)s).F_1 \vec{fs} \vec{x}s) \xs_t) \\
\quad \ldots \\
\quad \text{inj}_n \xs \Rightarrow \text{map-monad inj}_n ((\lambda(x)s).F_n \vec{fs} \vec{x}s) \xs_t)
\end{align*}
\]

(6)

Now it is indeed easy to derive the desired equations in (2):

\[
\begin{align*}
f_i \vec{x}s \overset{(5)}{=} (\text{curry } (\lambda x \vec{s}. \text{map-monad proj}_i (g (\text{inj}_i x \vec{s})))) \vec{x}s \\
\overset{(*)}{=} \text{map-monad proj}_i (g (\text{inj}_i (\vec{x}s)))) \\
\overset{(6)}{=} \text{map-monad proj}_i (\text{map-monad inj}_i (F_i \vec{fs} \vec{x}s)) \\
\overset{(**)}{=} F_i \vec{fs} \vec{x}s
\end{align*}
\]

Here, (*) used the definition of \text{curry} and splitting of tuples, and for (**) we demand that \text{map-monad} is compositional and that \text{map-monad} applied on the identity function is the identity function itself.

## 2 Implementation

### 2.1 Known limitations

- Our method does not properly work within contexts, if the function specification uses parameters from the context. The critical issues are marked with TODO within the ML-sources.

- The method does only provide equational theorems. It does not convert the induction rule for the global function \( g \) from the partial function command into an induction rule for the set of mutually recursive functions.

---

theory Partial-Function-MR
imports Main
keywords partial-function-mr :: thy-decl
begin

### 2.2 Register the partial-function-mr command

ML-file partial-function-mr.ML
2.3 Register the "option"-monad

Obviously, the map-function for the option-monad is map-option.

First, derive the required identity lemma.

**lemma** option-map-id: map-option (λ x. x) x = x
*by (cases x, auto)*

Second, register map-option as being monotone.

**lemma** option-map-mono[partial-function-mono]:
*assumes* mf: mono-option B
*shows* mono-option (λf. map-option h (B f))
*proof* (rule monotoneI)
*fix* f g :: 'a ⇒ 'b option
*assume* fg: fun-ord option-ord f g
*with* mf
*have* option-ord (B f) (B g) *by* (rule monotoneD[of - - f g])
*then show* option-ord (map-option h (B f)) (map-option h (B g))
*unfolding* flat-ord-def *by* auto
*qed*

And finally perform the registration. We need

- a constructor for map: it takes a monadic term mt of type mtT, a list of functions t-to-ss with corresponding types in t-to-sTs, a resulting monadic type msT, and it should return a monad term ms of type msT which is obtained by applying the functions on mt. Although for the option-monad, the lengths of the lists will always be one, there might be more elements for monads having more than one type-parameter.

- a function to perform type-construction for monads: it takes a list of fixed parameters and a list of flexible parameters and has to construct a monadic type out of these parameters. The user can freely choose which parameters should be fixed, and which are flexible. Only flexible parameters can be changes in the return type of each set of mutual recursive functions. Since in the option-monad we would like to be able to change the type-parameter, we ignore the fixed parameters here.

- a function to deconstruct monadic types into fixed and flexible type arguments.

- a compositionality theorem of the form map f (map g x) = map (f ◦ g) x

- an identity theorem of the form map (λx. x) m = m

**declaration** ⟨⟨ Partial-Function-MR.init
*option*
(fn (mt, t-to-ss, mtT, msT, t-to-sTs) =>

list-comb (Const (@\{const-name\-option\}, t-to-sTs \longrightarrow mtT \longrightarrow msT), t-to-ss) $ mt$

(fn (-,argTs) => Type (@\{type-name\-option\}, argTs))

(fn mt => ([],Term.dest-\Type mT |> #2))

@\{thms\-option\-map-comp\}

@\{thms\-option\-map-id\}

2.4 Register the "tailrec"-monad

For the "tailrec"-monad (which is the identity monad) we take the identity function as map, there are no flexible parameters, and the monadic type itself is the (only) fixed argument. As a consequence, we can only define tail-recursive and mutual recursive functions which share the same return type.

declaration \langle\langle Partial-Function-MR.init
tailrec
(fn (mt, t-to-ss, mtT, msT, t-to-sTs) => mt)
(fn (commonT,\-) => hd commonT)
(fn mt => ([mT],[[]]))
]]
]]

end

3 Examples

theory Partial-Function-MR-Examples
imports
Partial-Function-MR
\sim/\src/HOL/Library/Monad-Syntax
Rat
begin

3.1 Collatz function

In the following, we define the Collatz function, which is artificially encoded via mutually recursive functions. As second argument we store the intermediate values. It is currently unknown whether this function is terminating for all inputs or not.

partial-function-mr (tailrec) collatz and even-case and odd-case where

collatz x xs =
(if (x ≤ 1) then rev (x # xs) else
(if (x mod 2 = 0) then even-case x (x # xs)
else odd-case x xs))
| even-case x xs = collatz (x div 2) xs

6
odd-case x xs = collatz (3 * x + 1) (x # xs)

value collatz (327 :: int) []

3.2 Evaluating expressions

Note that we also provide a least fixpoint operator. Hence, the evaluation function will clearly be partial. The example also illustrates the usage of polymorphism and of different return types.

In the following datatype, Mu b f a encodes the least n such that b(f^n(a)).

datatype 'a bexp =
  BConst bool
| Less 'a aexp 'a aexp
| Eq 'a aexp 'a aexp
| And 'a bexp 'a bexp
and 'a aexp =
  Plus 'a aexp 'a aexp
| Div 'a aexp 'a aexp
| IfThenElse 'a bexp 'a aexp 'a aexp
| AConst 'a
| Mu 'a ⇒ 'a bexp 'a ⇒ 'a aexp 'a aexp

partial-function-mr (option)
  b-eval and a-eval and mu-eval where
  b-eval bexp = (case bexp of
    BConst b ⇒ Some b
  | Less a1 a2 ⇒ do {       
    x1 <- a-eval a1; 
    x2 <- a-eval a2;  
    Some (x1 < x2)
  }
  | Eq a1 a2 ⇒ do {        
    x1 <- a-eval a1; 
    x2 <- a-eval a2;  
    Some (x1 = x2)
  }
  | And be1 be2 ⇒ do {     
    b1 <- b-eval be1;  
    b2 <- b-eval be2;  
    Some (b1 ∧ b2)
  }
  )
  | a-eval aexp = (case aexp of
    AConst x ⇒ Some x
  | Plus a1 a2 ⇒ do { 
    x1 <- a-eval a1; 
    x2 <- a-eval a2;
  )
\[
\text{Some } (x_1 + x_2)
\]
\[
\text{Div } a_1 \ a_2 \Rightarrow \text{do }
\begin{align*}
& x_1 \leftarrow \text{a-eval } a_1; \\
& x_2 \leftarrow \text{a-eval } a_2; \\
& \text{if } (x_2 = 0) \text{ then None else Some } (x_1 \div x_2)
\end{align*}
\]
\[
\text{IfThenElse } bexp \ a_1 \ a_2 \Rightarrow \text{do }
\begin{align*}
& b \leftarrow \text{b-eval } bexp; \\
& (\text{if } b \text{ then } \text{a-eval } a_1 \text{ else } \text{a-eval } a_2)
\end{align*}
\]
\[
\text{Mu } b \ f \ a \Rightarrow \text{do }
\begin{align*}
& \text{mu-eval } b \ f \ a \ 0
\end{align*}
\]
\[
\text{mu-eval } b \ f \ a \ n = \text{do }
\begin{align*}
& x \leftarrow \text{a-eval } a; \\
& \text{check } \leftarrow \text{b-eval } (b \ x); \\
& (\text{if } \text{check } \text{ then Some } (\text{of-nat } n) \text{ else } \\
& \text{mu-eval } b \ f (f \ x) \text{ (Suc } n))
\end{align*}
\]

\text{definition }
\text{five-minus-two } = \text{a-eval } (\text{Mu } (\lambda \ x. \text{Eq } (\text{AConst } 5) \ (\text{AConst } x)) \ (\lambda \ x. \text{Plus } (\text{AConst } x) \ (\text{AConst } 1)) \ (\text{AConst } 2 :: \text{rat})))

\text{value } \text{five-minus-two}

\text{end}

\textbf{References}