

Abstract Hoare Logics

Tobias Nipkow

December 12, 2009

Abstract

These theories describe Hoare logics for a number of imperative language constructs, from while-loops to mutually recursive procedures. Both partial and total correctness are treated. In particular a proof system for total correctness of recursive procedures in the presence of unbounded nondeterminism is presented.

Contents

1	Introduction	1
2	Hoare Logics for While	2
2.1	The language	2
2.2	Hoare logic for partial correctness	4
2.3	Termination	5
2.4	Hoare logic for total correctness	7
3	Hoare Logics for 1 Procedure	8
3.1	The language	8
3.2	Hoare logic for partial correctness	11
3.3	Termination	14
3.4	Hoare logic for total correctness	15
4	Hoare Logics for Mutually Recursive Procedure	21
4.1	The language	22
4.2	Hoare logic for partial correctness	24
4.3	Termination	26
4.4	Hoare logic for total correctness	27

1 Introduction

These are the theories underlying the publications [2, 1]. They should be consulted for explanatory text. The local variable declaration construct in [2] has been generalized; see Section 2.1.

2 Hoare Logics for While

theory *Lang* **imports** *Main* **begin**

2.1 The language

We start by declaring a type of states:

typedecl *state*

Our approach is completely parametric in the state space. We define expressions (*bexp*) as functions from states to the booleans:

types *bexp* = *state* \Rightarrow *bool*

Instead of modelling the syntax of boolean expressions, we model their semantics. The (abstract and concrete) syntax of our programming is defined as a recursive datatype:

datatype *com* = *Do* (*state* \Rightarrow *state set*)
 | *Semi* *com com* (*-;* - [*60*, *60*] *10*)
 | *Cond* *bexp com com* (*IF - THEN - ELSE - 60*)
 | *While* *bexp com* (*WHILE - DO - 60*)
 | *Local* (*state* \Rightarrow *state*) *com* (*state* \Rightarrow *state* \Rightarrow *state*)
 (*LOCAL -;* -; - [*0*,*0*,*60*] *60*)

Statements in this language are called *commands*. They are modelled as terms of type *com*. *Do f* represents an atomic nondeterministic command that changes the state from *s* to some element of *f s*. Thus the command that does nothing, often called **skip**, can be represented by *Do* ($\lambda s. \{s\}$). Again we have chosen to model the semantics rather than the syntax, which simplifies matters enormously. Of course it means that we can no longer talk about certain syntactic matters, but that is just fine.

The constructors *Semi*, *Cond* and *While* represent sequential composition, conditional and while-loop. The annotations allow us to write

$$c_1; c_2 \quad \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \quad \text{WHILE } b \text{ DO } c$$

instead of *Semi* *c*₁ *c*₂, *Cond* *b* *c*₁ *c*₂ and *While* *b* *c*.

The command *LOCAL f; c; g* applies function *f* to the state, executes *c*, and then combines initial and final state via function *g*. More below. The semantics of commands is defined inductively by a so-called big-step semantics.

inductive

exec :: *state* \Rightarrow *com* \Rightarrow *state* \Rightarrow *bool* (*-/ ->>/ - [50,0,50] 50*)

where

$t \in f s \implies s -Do f \rightarrow t$

$\llbracket s0 -c1 \rightarrow s1; s1 -c2 \rightarrow s2 \rrbracket \implies s0 -c1;c2 \rightarrow s2$

| $\llbracket b\ s; s -c1 \rightarrow t \rrbracket \Longrightarrow s -IF\ b\ THEN\ c1\ ELSE\ c2 \rightarrow t$
| $\llbracket \neg b\ s; s -c2 \rightarrow t \rrbracket \Longrightarrow s -IF\ b\ THEN\ c1\ ELSE\ c2 \rightarrow t$

| $\neg b\ s \Longrightarrow s -WHILE\ b\ DO\ c \rightarrow s$
| $\llbracket b\ s; s -c \rightarrow t; t -WHILE\ b\ DO\ c \rightarrow u \rrbracket \Longrightarrow s -WHILE\ b\ DO\ c \rightarrow u$

| $f\ s -c \rightarrow t \Longrightarrow s -LOCAL\ f; c; g \rightarrow g\ s\ t$

Assuming that the state is a function from variables to values, the declaration of a new local variable x with initial value a can be modelled as $LOCAL\ (\lambda s. s(x := a\ s)); c; (\lambda s\ t. t(x := s\ x))$.

lemma *exec-Do-iff*[*iff*]: $(s -Do\ f \rightarrow t) = (t \in f\ s)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -c; d \rightarrow u) = (\exists t. s -c \rightarrow t \wedge t -d \rightarrow u)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -IF\ b\ THEN\ c\ ELSE\ d \rightarrow t) =$
 $(s -if\ b\ s\ then\ c\ else\ d \rightarrow t)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -LOCAL\ f; c; g \rightarrow u) = (\exists t. f\ s -c \rightarrow t \wedge u = g\ s\ t)$
 $\langle proof \rangle$

lemma *unfold-while*:
 $(s -WHILE\ b\ DO\ c \rightarrow u) =$
 $(s -IF\ b\ THEN\ c; WHILE\ b\ DO\ c\ ELSE\ Do(\lambda s. \{s\}) \rightarrow u)$
 $\langle proof \rangle$

lemma *while-lemma*[*rule-format*]:
 $s -w \rightarrow t \Longrightarrow !b\ c. w = WHILE\ b\ DO\ c \wedge P\ s \wedge$
 $(!s\ s'. P\ s \wedge b\ s \wedge s -c \rightarrow s' \longrightarrow P\ s') \longrightarrow P\ t \wedge \neg b\ t$
 $\langle proof \rangle$

lemma *while-rule*:
 $\llbracket s -WHILE\ b\ DO\ c \rightarrow t; P\ s; \forall s\ s'. P\ s \wedge b\ s \wedge s -c \rightarrow s' \longrightarrow P\ s' \rrbracket$
 $\Longrightarrow P\ t \wedge \neg b\ t$
 $\langle proof \rangle$

end

theory *Hoare* **imports** *Lang* **begin**

2.2 Hoare logic for partial correctness

We continue our semantic approach by modelling assertions just like boolean expressions, i.e. as functions:

types $assn = state \Rightarrow bool$

Hoare triples are triples of the form $\{P\} c \{Q\}$, where the assertions P and Q are the so-called pre and postconditions. Such a triple is *valid* (denoted by \models) iff every (terminating) execution starting in a state satisfying P ends up in a state satisfying Q :

constdefs

$hoare-valid :: assn \Rightarrow com \Rightarrow assn \Rightarrow bool$ ($\models \{(1-)\} / (-) / \{(1-)\}$ 50)
 $\models \{P\}c\{Q\} \equiv \forall s t. s -c \rightarrow t \longrightarrow P s \longrightarrow Q t$

This notion of validity is called *partial correctness* because it does not require termination of c .

Provability in Hoare logic is indicated by \vdash and defined inductively:

inductive

$hoare :: assn \Rightarrow com \Rightarrow assn \Rightarrow bool$ ($\vdash \{(1-)\} / (-) / \{(1-)\}$ 50)

where

$\vdash \{\lambda s. \forall t \in f s. P t\} Do f \{P\}$

$\vdash \vdash \{P\}c1\{Q\}; \vdash \{Q\}c2\{R\} \implies \vdash \{P\} c1;c2 \{R\}$

$\vdash \vdash \{\lambda s. P s \wedge b s\} c1 \{Q\}; \vdash \{\lambda s. P s \wedge \neg b s\} c2 \{Q\} \implies \vdash \{P\} IF b THEN c1 ELSE c2 \{Q\}$

$\vdash \vdash \{\lambda s. P s \wedge b s\} c \{P\} \implies \vdash \{P\} WHILE b DO c \{\lambda s. P s \wedge \neg b s\}$

$\vdash \vdash \{\forall s. P' s \longrightarrow P s\}; \vdash \{P\}c\{Q\}; \forall s. Q s \longrightarrow Q' s \implies \vdash \{P'\}c\{Q'\}$

$\vdash \vdash \{\wedge s. P s \implies P' s (f s)\}; \forall s. \vdash \{P' s\} c \{Q \circ (g s)\} \implies \vdash \{P\} LOCAL f;c;g \{Q\}$

Soundness is proved by induction on the derivation of $\vdash \{P\} c \{Q\}$:

theorem *hoare-sound*: $\vdash \{P\}c\{Q\} \implies \models \{P\}c\{Q\}$

<proof>

Completeness is not quite as straightforward, but still easy. The proof is best explained in terms of the *weakest precondition*:

constdefs

$wp :: com \Rightarrow assn \Rightarrow assn$
 $wp c Q \equiv \lambda s. \forall t. s -c \rightarrow t \longrightarrow Q t$

Dijkstra calls this the weakest *liberal* precondition to emphasize that it corresponds to partial correctness. We use “weakest precondition” all the time and let the context determine if we talk about partial or total correctness — the latter is introduced further below.

The following lemmas about *wp* are easily derived:

lemma [*simp*]: $wp (Do f) Q = (\lambda s. \forall t \in f s. Q(t))$
 ⟨*proof*⟩

lemma [*simp*]: $wp (c_1; c_2) R = wp c_1 (wp c_2 R)$
 ⟨*proof*⟩

lemma [*simp*]:
 $wp (IF b THEN c_1 ELSE c_2) Q = (\lambda s. wp (if b s then c_1 else c_2) Q s)$
 ⟨*proof*⟩

lemma *wp-while*:
 $wp (WHILE b DO c) Q =$
 $(\lambda s. if b s then wp (c; WHILE b DO c) Q s else Q s)$
 ⟨*proof*⟩

lemma [*simp*]:
 $wp (LOCAL f; c; g) Q = (\lambda s. wp c (Q o (g s)) (f s))$
 ⟨*proof*⟩

lemma *strengthen-pre*: $\llbracket \forall s. P' s \longrightarrow P s; \vdash \{P\}c\{Q\} \rrbracket \Longrightarrow \vdash \{P'\}c\{Q\}$
 ⟨*proof*⟩

lemma *weaken-post*: $\llbracket \vdash \{P\}c\{Q\}; \forall s. Q s \longrightarrow Q' s \rrbracket \Longrightarrow \vdash \{P\}c\{Q'\}$
 ⟨*proof*⟩

By induction on *c* one can easily prove

lemma *wp-is-pre*[*rule-format*]: $\vdash \{wp c Q\} c \{Q\}$
 ⟨*proof*⟩

from which completeness follows more or less directly via the rule of consequence:

theorem *hoare-relative-complete*: $\models \{P\}c\{Q\} \Longrightarrow \vdash \{P\}c\{Q\}$
 ⟨*proof*⟩

end

theory *Termi* imports *Lang* **begin**

2.3 Termination

Although partial correctness appeals because of its simplicity, in many cases one would like the additional assurance that the command is guaranteed to terminate if started in a state that satisfies the precondition. Even to express this we need to define when a command is guaranteed to terminate. We can do this without modifying our existing semantics by merely adding a second

inductively defined judgement $c \downarrow s$ that expresses guaranteed termination of c started in state s :

inductive

$termi :: com \Rightarrow state \Rightarrow bool$ (**infixl** \downarrow 50)

where

$f s \neq \{\} \Longrightarrow Do f \downarrow s$

$| \llbracket c_1 \downarrow s_0; \forall s_1. s_0 -c_1 \rightarrow s_1 \longrightarrow c_2 \downarrow s_1 \rrbracket \Longrightarrow (c_1; c_2) \downarrow s_0$

$| \llbracket b s; c_1 \downarrow s \rrbracket \Longrightarrow IF b THEN c_1 ELSE c_2 \downarrow s$

$| \llbracket \neg b s; c_2 \downarrow s \rrbracket \Longrightarrow IF b THEN c_1 ELSE c_2 \downarrow s$

$| \neg b s \Longrightarrow WHILE b DO c \downarrow s$

$| \llbracket b s; c \downarrow s; \forall t. s -c \rightarrow t \longrightarrow WHILE b DO c \downarrow t \rrbracket \Longrightarrow WHILE b DO c \downarrow s$

$| c \downarrow f s \Longrightarrow LOCAL f; c; g \downarrow s$

lemma [*iff*]: $Do f \downarrow s = (f s \neq \{\})$

<proof>

lemma [*iff*]: $((c_1; c_2) \downarrow s_0) = (c_1 \downarrow s_0 \wedge (\forall s_1. s_0 -c_1 \rightarrow s_1 \longrightarrow c_2 \downarrow s_1))$

<proof>

lemma [*iff*]: $(IF b THEN c_1 ELSE c_2 \downarrow s) =$
 $((if b s then c_1 else c_2) \downarrow s)$

<proof>

lemma [*iff*]: $(LOCAL f; c; g \downarrow s) = (c \downarrow f s)$

<proof>

lemma *termi-while-lemma*[*rule-format*]:

$w \downarrow f k \Longrightarrow$
 $(\forall k b c. f k = f k \wedge w = WHILE b DO c \wedge (\forall i. f i -c \rightarrow f(Suc i))$
 $\longrightarrow (\exists i. \neg b(f i)))$

<proof>

lemma *termi-while*:

$\llbracket (WHILE b DO c) \downarrow f k; \forall i. f i -c \rightarrow f(Suc i) \rrbracket \Longrightarrow \exists i. \neg b(f i)$

<proof>

lemma *wf-termi*: $wf \{(t, s). WHILE b DO c \downarrow s \wedge b s \wedge s -c \rightarrow t\}$

<proof>

end

theory *HoareTotal* **imports** *Hoare Termi* **begin**

2.4 Hoare logic for total correctness

Now that we have termination, we can define total validity, \models_t , as partial validity and guaranteed termination:

constdefs

hoare-tvalid :: *assn* \Rightarrow *com* \Rightarrow *assn* \Rightarrow *bool* ($\models_t \{(1-)\} / (-) / \{(1-)\} 50$)
 $\models_t \{P\}c\{Q\} \equiv \models \{P\}c\{Q\} \wedge (\forall s. P s \longrightarrow c \downarrow s)$

Proveability of Hoare triples in the proof system for total correctness is written $\vdash_t \{P\}c\{Q\}$ and defined inductively. The rules for \vdash_t differ from those for \vdash only in the one place where nontermination can arise: the *While*-rule.

inductive

thoare :: *assn* \Rightarrow *com* \Rightarrow *assn* \Rightarrow *bool* ($\vdash_t \{(1-)\} / (-) / \{(1-)\} 50$)

where

Do: $\vdash_t \{\lambda s. (\forall t \in f s. P t) \wedge f s \neq \{\}\} Do f \{P\}$
| *Semi*: $\llbracket \vdash_t \{P\}c\{Q\}; \vdash_t \{Q\}d\{R\} \rrbracket \Longrightarrow \vdash_t \{P\} c; d \{R\}$
| *If*: $\llbracket \vdash_t \{\lambda s. P s \wedge b s\}c\{Q\}; \vdash_t \{\lambda s. P s \wedge \sim b s\}d\{Q\} \rrbracket \Longrightarrow$
 $\vdash_t \{P\} IF b THEN c ELSE d \{Q\}$
| *While*:
 $\llbracket wf r; \forall s'. \vdash_t \{\lambda s. P s \wedge b s \wedge s' = s\} c \{\lambda s. P s \wedge (s, s') \in r\} \rrbracket$
 $\Longrightarrow \vdash_t \{P\} WHILE b DO c \{\lambda s. P s \wedge \neg b s\}$
| *Conseq*: $\llbracket \forall s. P' s \longrightarrow P s; \vdash_t \{P\}c\{Q\}; \forall s. Q s \longrightarrow Q' s \rrbracket \Longrightarrow$
 $\vdash_t \{P'\}c\{Q'\}$
| *Local*: $\llbracket !s. P s \Longrightarrow P' s (f s) \rrbracket \Longrightarrow \forall p. \vdash_t \{P' p\} c \{Q o (g p)\} \Longrightarrow$
 $\vdash_t \{P\} LOCAL f; c; g \{Q\}$

The *While*-rule is like the one for partial correctness but it requires additionally that with every execution of the loop body a wellfounded relation (*wf r*) on the state space decreases.

The soundness theorem

theorem $\vdash_t \{P\}c\{Q\} \Longrightarrow \models_t \{P\}c\{Q\}$
 $\langle proof \rangle$

In the *While*-case we perform a local proof by wellfounded induction over the given relation *r*.

The completeness proof proceeds along the same lines as the one for partial correctness. First we have to strengthen our notion of weakest precondition to take termination into account:

constdefs

wpt :: *com* \Rightarrow *assn* \Rightarrow *assn* (*wpt*)
 $wpt c Q \equiv \lambda s. wp c Q s \wedge c \downarrow s$

lemmas *wp-defs* = *wp-def wpt-def*

lemma [*simp*]: $wpt (Do f) Q = (\lambda s. (\forall t \in f s. Q t) \wedge f s \neq \{\})$

<proof>

lemma [*simp*]: $wp_t (c_1; c_2) R = wp_t c_1 (wp_t c_2 R)$
<proof>

lemma [*simp*]:
 $wp_t (IF\ b\ THEN\ c_1\ ELSE\ c_2) Q = (\lambda s. wp_t (if\ b\ s\ then\ c_1\ else\ c_2) Q\ s)$
<proof>

lemma [*simp*]: $wp_t (LOCAL\ f; c; g) Q = (\lambda s. wp_t c (Q\ o\ (g\ s)) (f\ s))$
<proof>

lemma *strengthen-pre*: $\llbracket \forall s. P'\ s \longrightarrow P\ s; \vdash_t \{P\}c\{Q\} \rrbracket \Longrightarrow \vdash_t \{P'\}c\{Q\}$
<proof>

lemma *weaken-post*: $\llbracket \vdash_t \{P\}c\{Q\}; \forall s. Q\ s \longrightarrow Q'\ s \rrbracket \Longrightarrow \vdash_t \{P\}c\{Q'\}$
<proof>

inductive-cases [*elim!*]: *WHILE* $b\ DO\ c\ \downarrow\ s$

lemma *wp-is-pre*[*rule-format*]: $\vdash_t \{wp_t\ c\ Q\} c\ \{Q\}$
<proof>

The *While*-case is interesting because we now have to furnish a suitable wellfounded relation. Of course the execution of the loop body directly yields the required relation. The actual completeness theorem follows directly, in the same manner as for partial correctness.

theorem $\models_t \{P\}c\{Q\} \Longrightarrow \vdash_t \{P\}c\{Q\}$
<proof>

end

3 Hoare Logics for 1 Procedure

theory *PLang* **imports** *Main* **begin**

3.1 The language

typedecl *state*

types *bexp* = *state* \Rightarrow *bool*

datatype *com* = *Do* (*state* \Rightarrow *state set*)
| *Semi* *com com* (*-; - [60, 60] 10*)
| *Cond* *bexp com com* (*IF - THEN - ELSE - 60*)
| *While* *bexp com* (*WHILE - DO - 60*)
| *CALL*
| *Local* (*state* \Rightarrow *state*) *com* (*state* \Rightarrow *state* \Rightarrow *state*)

(LOCAL -; -; - [0,0,60] 60)

There is only one parameterless procedure in the program. Hence *CALL* does not even need to mention the procedure name. There is no separate syntax for procedure declarations. Instead we declare a HOL constant that represents the body of the one procedure in the program.

consts *body* :: *com*

As before, command execution is described by transitions $s -c \rightarrow t$. The only new rule is the one for *CALL* — it requires no comment:

inductive

exec :: *state* \Rightarrow *com* \Rightarrow *state* \Rightarrow *bool* (-/ ---/ - [50,0,50] 50)

where

Do: $t \in f s \Longrightarrow s -Do f \rightarrow t$

| *Semi*: $\llbracket s0 -c1 \rightarrow s1; s1 -c2 \rightarrow s2 \rrbracket$
 $\Longrightarrow s0 -c1; c2 \rightarrow s2$

| *IfTrue*: $\llbracket b s; s -c1 \rightarrow t \rrbracket \Longrightarrow s -IF b THEN c1 ELSE c2 \rightarrow t$
| *IfFalse*: $\llbracket \neg b s; s -c2 \rightarrow t \rrbracket \Longrightarrow s -IF b THEN c1 ELSE c2 \rightarrow t$

| *WhileFalse*: $\neg b s \Longrightarrow s -WHILE b DO c \rightarrow s$
| *WhileTrue*: $\llbracket b s; s -c \rightarrow t; t -WHILE b DO c \rightarrow u \rrbracket$
 $\Longrightarrow s -WHILE b DO c \rightarrow u$

| $s -body \rightarrow t \Longrightarrow s -CALL \rightarrow t$

| *Local*: $f s -c \rightarrow t \Longrightarrow s -LOCAL f; c; g \rightarrow g s t$

lemma [*iff*]: $(s -Do f \rightarrow t) = (t \in f s)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -c; d \rightarrow u) = (\exists t. s -c \rightarrow t \wedge t -d \rightarrow u)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -IF b THEN c ELSE d \rightarrow t) =$
 $(s -if b s then c else d \rightarrow t)$
 $\langle proof \rangle$

lemma *unfold-while*:
 $(s -WHILE b DO c \rightarrow u) =$
 $(s -IF b THEN c; WHILE b DO c ELSE Do(\lambda s. \{s\}) \rightarrow u)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -CALL \rightarrow t) = (s -body \rightarrow t)$
 $\langle proof \rangle$

lemma [*iff*]: $(s -LOCAL f; c; g \rightarrow u) = (\exists t. f s -c \rightarrow t \wedge u = g s t)$

<proof>

lemma [*simp*]: $\neg b \ s \Longrightarrow s \text{ - } WHILE \ b \ DO \ c \rightarrow s$
<proof>

lemma *WhileI*: $\llbracket b \ s; \ s \text{ - } c \rightarrow t; \ t \text{ - } WHILE \ b \ DO \ c \rightarrow u \rrbracket \Longrightarrow s \text{ - } WHILE \ b \ DO \ c \rightarrow u$
<proof>

This semantics turns out not to be fine-grained enough. The soundness proof for the Hoare logic below proceeds by induction on the call depth during execution. To make this work we define a second semantics $s \text{ - } c \text{ - } n \rightarrow t$ which expresses that the execution uses at most n nested procedure invocations, where n is a natural number. The rules are straightforward: n is just passed around, except for procedure calls, where it is decremented:

inductive

execn :: *state* \Rightarrow *com* \Rightarrow *nat* \Rightarrow *state* \Rightarrow *bool* (-/ ---->/ - [50,0,0,50] 50)

where

$t \in f \ s \Longrightarrow s \text{ - } Do \ f \text{ - } n \rightarrow t$

$\llbracket s0 \text{ - } c1 \text{ - } n \rightarrow s1; \ s1 \text{ - } c2 \text{ - } n \rightarrow s2 \rrbracket \Longrightarrow s0 \text{ - } c1; c2 \text{ - } n \rightarrow s2$

$\llbracket b \ s; \ s \text{ - } c1 \text{ - } n \rightarrow t \rrbracket \Longrightarrow s \text{ - } IF \ b \ THEN \ c1 \ ELSE \ c2 \text{ - } n \rightarrow t$

$\llbracket \neg b \ s; \ s \text{ - } c2 \text{ - } n \rightarrow t \rrbracket \Longrightarrow s \text{ - } IF \ b \ THEN \ c1 \ ELSE \ c2 \text{ - } n \rightarrow t$

$\neg b \ s \Longrightarrow s \text{ - } WHILE \ b \ DO \ c \text{ - } n \rightarrow s$

$\llbracket b \ s; \ s \text{ - } c \text{ - } n \rightarrow t; \ t \text{ - } WHILE \ b \ DO \ c \text{ - } n \rightarrow u \rrbracket \Longrightarrow s \text{ - } WHILE \ b \ DO \ c \text{ - } n \rightarrow u$

$s \text{ - } body \text{ - } n \rightarrow t \Longrightarrow s \text{ - } CALL \text{ - } Suc \ n \rightarrow t$

$f \ s \text{ - } c \text{ - } n \rightarrow t \Longrightarrow s \text{ - } LOCAL \ f; \ c; \ g \text{ - } n \rightarrow g \ s \ t$

lemma [*iff*]: $(s \text{ - } Do \ f \text{ - } n \rightarrow t) = (t \in f \ s)$
<proof>

lemma [*iff*]: $(s \text{ - } c1; c2 \text{ - } n \rightarrow u) = (\exists t. \ s \text{ - } c1 \text{ - } n \rightarrow t \wedge t \text{ - } c2 \text{ - } n \rightarrow u)$
<proof>

lemma [*iff*]: $(s \text{ - } IF \ b \ THEN \ c \ ELSE \ d \text{ - } n \rightarrow t) =$
 $(s \text{ - } if \ b \ s \ then \ c \ else \ d \text{ - } n \rightarrow t)$
<proof>

lemma [*iff*]: $(s \text{ - } CALL \text{ - } 0 \rightarrow t) = False$
<proof>

lemma [*iff*]: $(s \text{ - } CALL \text{ - } Suc \ n \rightarrow t) = (s \text{ - } body \text{ - } n \rightarrow t)$
<proof>

lemma *[iff]*: $(s \text{ -LOCAL } f; c; g \text{ -}n \rightarrow u) = (\exists t. f \text{ } s \text{ -}c \text{ -}n \rightarrow t \wedge u = g \text{ } s \text{ } t)$
<proof>

By induction on $s \text{ -}c \text{ -}m \rightarrow t$ we show monotonicity w.r.t. the call depth:

lemma *exec-mono**[rule-format]*: $s \text{ -}c \text{ -}m \rightarrow t \implies \forall n. m \leq n \longrightarrow s \text{ -}c \text{ -}n \rightarrow t$
<proof>

With the help of this lemma we prove the expected relationship between the two semantics:

lemma *exec-iff-execn*: $(s \text{ -}c \rightarrow t) = (\exists n. s \text{ -}c \text{ -}n \rightarrow t)$
<proof>

lemma *while-lemma**[rule-format]*:

$s \text{ -}w \text{ -}n \rightarrow t \implies !b \text{ } c. w = \text{WHILE } b \text{ DO } c \wedge P \text{ } s \wedge$
 $(!s \text{ } s'. P \text{ } s \wedge b \text{ } s \wedge s \text{ -}c \text{ -}n \rightarrow s' \longrightarrow P \text{ } s') \longrightarrow P \text{ } t \wedge \neg b \text{ } t$
<proof>

lemma *while-rule*:

$\llbracket s \text{ -} \text{WHILE } b \text{ DO } c \text{ -}n \rightarrow t; P \text{ } s; \bigwedge s \text{ } s'. \llbracket P \text{ } s; b \text{ } s; s \text{ -}c \text{ -}n \rightarrow s' \rrbracket \implies P \text{ } s \rrbracket$
 $\implies P \text{ } t \wedge \neg b \text{ } t$
<proof>

end

theory *PHoare imports PLang begin*

3.2 Hoare logic for partial correctness

Taking auxiliary variables seriously means that assertions must now depend on them as well as on the state. Initially we do not fix the type of auxiliary variables but parameterize the type of assertions with a type variable $'a$:

types $'a \text{ } assn = 'a \Rightarrow state \Rightarrow bool$

The second major change is the need to reason about Hoare triples in a context: proofs about recursive procedures are conducted by induction where we assume that all *CALLs* satisfy the given pre/postconditions and have to show that the body does as well. The assumption is stored in a context, which is a set of Hoare triples:

types $'a \text{ } cntxt = ('a \text{ } assn \times com \times 'a \text{ } assn) \text{ set}$

In the presence of only a single procedure the context will always be empty or a singleton set. With multiple procedures, larger sets can arise.

Now that we have contexts, validity becomes more complicated. Ordinary validity (w.r.t. partial correctness) is still what it used to be, except that we have to take auxiliary variables into account as well:

constdefs

$valid :: 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$ ($\models \{(1-)\} / (-) / \{(1-)\} 50$)
 $\models \{P\}c\{Q\} \equiv \forall s\ t. s -c \rightarrow t \longrightarrow (\forall z. P\ z\ s \longrightarrow Q\ z\ t)$

Auxiliary variables are always denoted by z .

Validity of a context and validity of a Hoare triple in a context are defined as follows:

constdefs

$valids :: 'a\ cntxt \Rightarrow bool$ ($\models - 50$)
 $\models C \equiv \forall (P,c,Q) \in C. \models \{P\}c\{Q\}$

$evalid :: 'a\ cntxt \Rightarrow 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$ ($- \models / \{(1-)\} / (-) / \{(1-)\} 50$)
 $C \models \{P\}c\{Q\} \equiv \models C \longrightarrow \models \{P\}c\{Q\}$

declare $valids-def[simp]$

Note that $\{ \} \models \{P\} c \{Q\}$ is equivalent to $\models \{P\} c \{Q\}$.

Unfortunately, this is not the end of it. As we have two semantics, $-c \rightarrow$ and $-c-n \rightarrow$, we also need a second notion of validity parameterized with the recursion depth n :

constdefs

$nvalid :: nat \Rightarrow 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$ ($\models - \{(1-)\} / (-) / \{(1-)\} 50$)
 $\models^n \{P\}c\{Q\} \equiv \forall s\ t. s -c-n \rightarrow t \longrightarrow (\forall z. P\ z\ s \longrightarrow Q\ z\ t)$

$nvalids :: nat \Rightarrow 'a\ cntxt \Rightarrow bool$ ($\models - / - / - 50$)
 $\models^n C \equiv \forall (P,c,Q) \in C. \models^n \{P\}c\{Q\}$

$envalid :: 'a\ cntxt \Rightarrow nat \Rightarrow 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$ ($- \models - / \{(1-)\} / (-) / \{(1-)\} 50$)
 $C \models^n \{P\}c\{Q\} \equiv \models^n C \longrightarrow \models^n \{P\}c\{Q\}$

Finally we come to the proof system for deriving triples in a context:

inductive

$hoare :: 'a\ cntxt \Rightarrow 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$ ($- \vdash / (\{(1-)\} / (-) / \{(1-)\}) 50$)

where

$C \vdash \{\lambda z\ s. \forall t \in f\ s. P\ z\ t\} Do\ f\ \{P\}$

$\llbracket C \vdash \{P\}c1\{Q\}; C \vdash \{Q\}c2\{R\} \rrbracket \Longrightarrow C \vdash \{P\} c1;c2 \{R\}$

$\llbracket C \vdash \{\lambda z\ s. P\ z\ s \wedge b\ s\}c1\{Q\}; C \vdash \{\lambda z\ s. P\ z\ s \wedge \neg b\ s\}c2\{Q\} \rrbracket \Longrightarrow C \vdash \{P\} IF\ b\ THEN\ c1\ ELSE\ c2\ \{Q\}$

$\llbracket C \vdash \{\lambda z\ s. P\ z\ s \wedge b\ s\} c\ \{P\}$

$$\Longrightarrow C \vdash \{P\} \text{ WHILE } b \text{ DO } c \{ \lambda z s. P z s \wedge \neg b s \}$$

$$\begin{array}{l} | \llbracket C \vdash \{P'\}c\{Q'\}; \forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t) \rrbracket \\ \Longrightarrow C \vdash \{P\}c\{Q\} \end{array}$$

$$| \{(P, \text{CALL}, Q)\} \vdash \{P\} \text{body}\{Q\} \Longrightarrow \{\} \vdash \{P\} \text{CALL}\{Q\}$$

$$\begin{array}{l} | \{(P, \text{CALL}, Q)\} \vdash \{P\} \text{CALL}\{Q\} \\ | \llbracket \forall s'. C \vdash \{ \lambda z s. P z s' \wedge s = f s' \} c \{ \lambda z t. Q z (g s' t) \} \rrbracket \Longrightarrow \\ C \vdash \{P\} \text{LOCAL } f; c; g \{Q\} \end{array}$$

abbreviation $\text{hoare1} :: 'a \text{ cntxt} \Rightarrow 'a \text{ assn} \times \text{com} \times 'a \text{ assn} \Rightarrow \text{bool} \ (- \vdash -)$ **where**
 $C \vdash x \equiv C \vdash \{fst\ x\}fst\ (snd\ x)\{snd\ (snd\ x)\}$

The first four rules are familiar, except for their adaptation to auxiliary variables. The *CALL* rule embodies induction and has already been motivated above. Note that it is only applicable if the context is empty. This shows that we never need nested induction. For the same reason the assumption rule (the last rule) is stated with just a singleton context.

The rule of consequence is explained in the accompanying paper.

lemma *strengthen-pre*:

$$\llbracket \forall z s. P' z s \longrightarrow P z s; C \vdash \{P\}c\{Q\} \rrbracket \Longrightarrow C \vdash \{P'\}c\{Q\}$$

<proof>

lemmas $\text{valid-defs} = \text{valid-def}\ \text{valids-def}\ \text{cvalid-def}$
 $\text{nvalid-def}\ \text{nvalids-def}\ \text{cnvalid-def}$

theorem *hoare-sound*: $C \vdash \{P\}c\{Q\} \Longrightarrow C \models \{P\}c\{Q\}$ *<proof>*

The completeness proof employs the notion of a *most general triple* (or *most general formula*):

constdefs

$$\begin{array}{l} \text{MGT} :: \text{com} \Rightarrow \text{state assn} \times \text{com} \times \text{state assn} \\ \text{MGT } c \equiv (\lambda z s. z = s, c, \lambda z t. z -c \rightarrow t) \end{array}$$

declare *MGT-def*[*simp*]

Note that the type of z has been identified with *state*. This means that for every state variable there is an auxiliary variable, which is simply there to record the value of the program variables before execution of a command. This is exactly what, for example, VDM offers by allowing you to refer to the pre-value of a variable in a postcondition. The intuition behind *MGT c* is that it completely describes the operational behaviour of c . It is easy to see that, in the presence of the new consequence rule, $\{\} \vdash \text{MGT } c$ implies completeness:

lemma *MGT-implies-complete*:

$$\{\} \vdash \text{MGT } c \Longrightarrow \{\} \models \{P\}c\{Q\} \Longrightarrow \{\} \vdash \{P\}c\{Q::\text{state assn}\}$$

<proof>

In order to discharge $\{\} \vdash MGT\ c$ one proves

lemma *MGT-lemma*: $C \vdash MGT\ CALL \implies C \vdash MGT\ c$
<proof>

The proof is by induction on c . In the *While*-case it is easy to show that $\lambda z\ t. (z, t) \in \{(s, t). b\ s \wedge s \text{ --}c \rightarrow t\}^*$ is invariant. The precondition $\lambda z\ s. z=s$ establishes the invariant and a reflexive transitive closure induction shows that the invariant conjoined with $\neg b\ t$ implies the postcondition $\lambda z. exec\ z\ (WHILE\ b\ DO\ c)$. The remaining cases are trivial.

Using the *MGT-lemma* (together with the *CALL* and the assumption rule) one can easily derive

lemma *MGT-CALL*: $\{\} \vdash MGT\ CALL$
<proof>

Using the *MGT-lemma* once more we obtain $\{\} \vdash MGT\ c$ and thus by *MGT-implies-complete* completeness.

theorem $\{\} \models \{P\}c\{Q\} \implies \{\} \vdash \{P\}c\{Q::state\ assn\}$
<proof>

end

theory *PTermi* **imports** *PLang* **begin**

3.3 Termination

inductive

termi :: *com* \Rightarrow *state* \Rightarrow *bool* (**infixl** \downarrow 50)

where

Do[*iff*]: $f\ s \neq \{\} \implies Do\ f\ \downarrow\ s$
| *Semi*[*intro!*]: $\llbracket c1\ \downarrow\ s0; \bigwedge s1. s0 \text{ --}c1 \rightarrow s1 \implies c2\ \downarrow\ s1 \rrbracket$
 $\implies (c1;c2)\ \downarrow\ s0$

| *IfTrue*[*intro,simp*]: $\llbracket b\ s; c1\ \downarrow\ s \rrbracket \implies IF\ b\ THEN\ c1\ ELSE\ c2\ \downarrow\ s$
| *IfFalse*[*intro,simp*]: $\llbracket \neg b\ s; c2\ \downarrow\ s \rrbracket \implies IF\ b\ THEN\ c1\ ELSE\ c2\ \downarrow\ s$

| *WhileFalse*: $\neg b\ s \implies WHILE\ b\ DO\ c\ \downarrow\ s$

| *WhileTrue*: $\llbracket b\ s; c\ \downarrow\ s; \bigwedge t. s \text{ --}c \rightarrow t \implies WHILE\ b\ DO\ c\ \downarrow\ t \rrbracket$
 $\implies WHILE\ b\ DO\ c\ \downarrow\ s$
| *body* $\downarrow\ s \implies CALL\ \downarrow\ s$

| *Local*: $c\ \downarrow\ f\ s \implies LOCAL\ f;c;g\ \downarrow\ s$

lemma [*iff*]: $(Do\ f\ \downarrow\ s) = (f\ s \neq \{\})$
<proof>

lemma [*iff*]: $((c1;c2)\ \downarrow\ s0) = (c1\ \downarrow\ s0 \wedge (\forall s1. s0 \text{ --}c1 \rightarrow s1 \implies c2\ \downarrow\ s1))$

$\langle proof \rangle$

lemma [iff]: $(IF\ b\ THEN\ c1\ ELSE\ c2\ \downarrow\ s) =$
 $((if\ b\ s\ then\ c1\ else\ c2)\ \downarrow\ s)$

$\langle proof \rangle$

lemma [iff]: $(CALL\ \downarrow\ s) = (body\ \downarrow\ s)$

$\langle proof \rangle$

lemma [iff]: $(LOCAL\ f;c;g\ \downarrow\ s) = (c\ \downarrow\ f\ s)$

$\langle proof \rangle$

lemma *termi-while-lemma*[rule-format]:

$w\ \downarrow\ fk \implies$
 $(\forall k\ b\ c.\ fk = f\ k \wedge w = WHILE\ b\ DO\ c \wedge (\forall i.\ f\ i \rightarrow c \rightarrow f(Suc\ i))$
 $\implies (\exists i.\ \neg b(f\ i)))$

$\langle proof \rangle$

lemma *termi-while*:

$\llbracket (WHILE\ b\ DO\ c)\ \downarrow\ f\ k;\ \forall i.\ f\ i \rightarrow c \rightarrow f(Suc\ i) \rrbracket \implies \exists i.\ \neg b(f\ i)$

$\langle proof \rangle$

lemma *wf-termi*: $wf\ \{(t,s).\ WHILE\ b\ DO\ c\ \downarrow\ s \wedge b\ s \wedge s \rightarrow c \rightarrow t\}$

$\langle proof \rangle$

end

theory *PHoareTotal* **imports** *PHoare* *PTermi* **begin**

3.4 Hoare logic for total correctness

Validity is defined as expected:

constdefs

$tvalid :: 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool\ (\models_t\ \{(1-)\}/\ (-)\ \{(1-)\}\ 50)$
 $\models_t\ \{P\}c\{Q\} \equiv \models\ \{P\}c\{Q\} \wedge (\forall z\ s.\ P\ z\ s \longrightarrow c\ \downarrow\ s)$

$ctvalid :: 'a\ cntxt \Rightarrow 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$
 $((-\ / \models_t\ \{(1-)\}/\ (-)\ \{(1-)\})\ 50)$

$C \models_t\ \{P\}c\{Q\} \equiv (\forall (P',c',Q') \in C.\ \models_t\ \{P'\}c'\{Q'\}) \longrightarrow \models_t\ \{P\}c\{Q\}$

inductive

$thoare :: 'a\ cntxt \Rightarrow 'a\ assn \Rightarrow com \Rightarrow 'a\ assn \Rightarrow bool$
 $((-\ \vdash_t\ / (\{(1-)\}/\ (-)\ \{(1-)\}))\ [50,0,0,0]\ 50)$

where

$Do: C \vdash_t\ \{\lambda z\ s.\ (\forall t \in f\ s.\ P\ z\ t) \wedge f\ s \neq \{\}\}\ Do\ f\ \{P\}$
 $| Semi: \llbracket C \vdash_t\ \{P\}c1\{Q\}; C \vdash_t\ \{Q\}c2\{R\} \rrbracket \implies C \vdash_t\ \{P\}\ c1;c2\ \{R\}$

| *If*: $\llbracket C \vdash_t \{\lambda z s. P z s \wedge b s\}c\{Q\}; C \vdash_t \{\lambda z s. P z s \wedge \sim b s\}d\{Q\} \rrbracket \implies C \vdash_t \{P\} \text{ IF } b \text{ THEN } c \text{ ELSE } d \{Q\}$

| *While*:

$\llbracket \text{wf } r; \forall s'. C \vdash_t \{\lambda z s. P z s \wedge b s \wedge s' = s\} c \{\lambda z s. P z s \wedge (s, s') \in r\} \rrbracket \implies C \vdash_t \{P\} \text{ WHILE } b \text{ DO } c \{\lambda z s. P z s \wedge \neg b s\}$

| *Call*:

$\llbracket \text{wf } r; \forall s'. \{(\lambda z s. P z s \wedge (s, s') \in r, \text{CALL}, Q)\} \vdash_t \{\lambda z s. P z s \wedge s = s'\} \text{body } \{Q\} \rrbracket \implies \{ \} \vdash_t \{P\} \text{CALL } \{Q\}$

| *Asm*: $\{(P, \text{CALL}, Q)\} \vdash_t \{P\} \text{CALL } \{Q\}$

| *Conseq*:

$\llbracket C \vdash_t \{P'\}c\{Q'\}; (\forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t)) \wedge (\forall s. (\exists z. P z s) \longrightarrow (\exists z. P' z s)) \rrbracket \implies C \vdash_t \{P\}c\{Q\}$

| *Local*: $\llbracket \forall s'. C \vdash_t \{\lambda z s. P z s' \wedge s = f s'\} c \{\lambda z t. Q z (g s' t)\} \rrbracket \implies C \vdash_t \{P\} \text{LOCAL } f; c; g \{Q\}$

abbreviation *hoare1* :: 'a cntxt \Rightarrow 'a assn \times com \times 'a assn \Rightarrow bool (- \vdash_t -) **where**
 $C \vdash_t x \equiv C \vdash_t \{\text{fst } x\} \text{fst } (\text{snd } x) \{\text{snd } (\text{snd } x)\}$

The side condition in our rule of consequence looks quite different from the one by Kleymann, but the two are in fact equivalent:

lemma $((\forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t)) \wedge (\forall s. (\exists z. P z s) \longrightarrow (\exists z. P' z s))) = (\forall z s. P z s \longrightarrow (\forall t. \exists z'. P' z' s \wedge (Q' z' t \longrightarrow Q z t)))$

<proof>

The key difference to the work by Kleymann (and America and de Boer) is that soundness and completeness are shown for arbitrary, i.e. unbounded nondeterminism. This is a significant extension and appears to have been an open problem. The details are found below and are explained in a separate paper [1].

lemma *strengthen-pre*:

$\llbracket \forall z s. P' z s \longrightarrow P z s; C \vdash_t \{P\}c\{Q\} \rrbracket \implies C \vdash_t \{P'\}c\{Q\}$
<proof>

lemma *weaken-post*:

$\llbracket C \vdash_t \{P\}c\{Q\}; \forall z s. Q z s \longrightarrow Q' z s \rrbracket \implies C \vdash_t \{P\}c\{Q'\}$
<proof>

theorems *tvalid-defs* = *tvalid-def* *ctvalid-def* *valid-defs*

lemma [*iff*]:

$(\models_t \{\lambda z s. \exists n. P n z s\}c\{Q\}) = (\forall n. \models_t \{P n\}c\{Q\})$
 $\langle proof \rangle$

lemma [iff]:
 $(\models_t \{\lambda z s. P z s \wedge P'\}c\{Q\}) = (P' \longrightarrow \models_t \{P\}c\{Q\})$
 $\langle proof \rangle$

lemma [iff]: $(\models_t \{P\}CALL\{Q\}) = (\models_t \{P\}body\{Q\})$
 $\langle proof \rangle$

theorem $C \vdash_t \{P\}c\{Q\} \implies C \models_t \{P\}c\{Q\}$
 $\langle proof \rangle$

constdefs $MGT_t \quad :: com \Rightarrow state\ assn \times com \times state\ assn$
 $MGT_t\ c \equiv (\lambda z s. z = s \wedge c \downarrow s, c, \lambda z t. z -c \rightarrow t)$

declare $MGT_t-def[simp]$

lemma *MGT-implies-complete*:
 $\{\} \vdash_t MGT_t\ c \implies \{\} \models_t \{P\}c\{Q\} \implies \{\} \vdash_t \{P\}c\{Q::state\ assn\}$
 $\langle proof \rangle$

lemma *while-termiE*: $\llbracket WHILE\ b\ DO\ c \downarrow s; b\ s \rrbracket \implies c \downarrow s$
 $\langle proof \rangle$

lemma *while-termiE2*:
 $\llbracket WHILE\ b\ DO\ c \downarrow s; b\ s; s -c \rightarrow t \rrbracket \implies WHILE\ b\ DO\ c \downarrow t$
 $\langle proof \rangle$

lemma *MGT-lemma*: $C \vdash_t MGT_t\ CALL \implies C \vdash_t MGT_t\ c$
 $\langle proof \rangle$

inductive-set

$exec1 \quad :: (com\ list \times state) \times (com\ list \times state) \Rightarrow set$
and $exec1' \quad :: (com\ list \times state) \Rightarrow (com\ list \times state) \Rightarrow bool \ (- \rightarrow - [81,81]$
 $100)$

where

$cs0 \rightarrow cs1 \equiv (cs0, cs1) : exec1$

| *Do*[iff]: $t \in f\ s \implies ((Do\ f)\#cs, s) \rightarrow (cs, t)$

| *Semi*[iff]: $((c1; c2)\#cs, s) \rightarrow (c1\#c2\#cs, s)$

| *IfTrue*: $b\ s \implies ((IF\ b\ THEN\ c1\ ELSE\ c2)\#cs, s) \rightarrow (c1\#cs, s)$

| *IfFalse*: $\neg b\ s \implies ((IF\ b\ THEN\ c1\ ELSE\ c2)\#cs, s) \rightarrow (c2\#cs, s)$

| *WhileFalse*: $\neg b\ s \implies ((WHILE\ b\ DO\ c)\#cs, s) \rightarrow (cs, s)$

| *WhileTrue*: $b\ s \implies ((WHILE\ b\ DO\ c)\#cs, s) \rightarrow (c\#(WHILE\ b\ DO\ c)\#cs, s)$

| *Call*[*iff*]: (*CALL*#*cs,s*) → (*body*#*cs,s*)

| *Local*[*iff*]: ((*LOCAL* *f;c;g*)#*cs,s*) → (*c* # *Do*(λ*t. {g s t}*)#*cs, f s*)

abbreviation

exectr :: (*com list* × *state*) ⇒ (*com list* × *state*) ⇒ *bool* (- →* - [81,81] 100)
where *cs0* →* *cs1* ≡ (*cs0,cs1*) : *exec1* ^*

inductive-cases *exec1E*[*elim!*]:

([],*s*) → (*cs',s'*)
(*Do* *f*#*cs,s*) → (*cs',s'*)
(*c1;c2*)#*cs,s*) → (*cs',s'*)
(*IF* *b* *THEN* *c1* *ELSE* *c2*)#*cs,s*) → (*cs',s'*)
(*WHILE* *b* *DO* *c*)#*cs,s*) → (*cs',s'*)
(*CALL*#*cs,s*) → (*cs',s'*)
(*LOCAL* *f;c;g*)#*cs,s*) → (*cs',s'*)

lemma [*iff*]: ¬ ([],*s*) → *u*
<*proof*>

lemma *app-exec*: (*cs,s*) → (*cs',s'*) ⇒ (*cs@cs2,s*) → (*cs'@cs2,s'*)
<*proof*>

lemma *app-execs*: (*cs,s*) →* (*cs',s'*) ⇒ (*cs@cs2,s*) →* (*cs'@cs2,s'*)
<*proof*>

lemma *exec-impl-execs*[*rule-format*]:
s - *c* → *s'* ⇒ ∀ *cs. (c#cs,s) →* (cs,s')*
<*proof*>

inductive

execs :: *state* ⇒ *com list* ⇒ *state* ⇒ *bool* (- / ⇒ / - [50,0,50] 50)

where

s = [] ⇒ *s*
| *s* - *c* → *t* ⇒ *t* = *cs* ⇒ *u* ⇒ *s* = *c#cs* ⇒ *u*

inductive-cases [*elim!*]:

s = [] ⇒ *t*
s = *c#cs* ⇒ *t*

theorem *exec1s-impl-execs*: (*cs,s*) →* ([],*t*) ⇒ *s* = *cs* ⇒ *t*
<*proof*>

theorem *exec1s-impl-exec*: ([*c*],*s*) →* ([],*t*) ⇒ *s* - *c* → *t*
<*proof*>

consts *termis* :: *com list* ⇒ *state* ⇒ *bool* (**infixl** ↓ 60)

primrec $\llbracket \downarrow s = True$ $c \# cs \downarrow s = (c \downarrow s \wedge (\forall t. s -c \rightarrow t \longrightarrow cs \downarrow t))$ **lemma** *exec1-pres-termis*: $(cs, s) \rightarrow (cs', s') \Longrightarrow cs \downarrow s \longrightarrow cs' \downarrow s'$ $\langle proof \rangle$ **lemma** *execs-pres-termis*: $(cs, s) \rightarrow^* (cs', s') \Longrightarrow cs \downarrow s \longrightarrow cs' \downarrow s'$ $\langle proof \rangle$ **lemma** *execs-pres-termi*: $\llbracket ([c], s) \rightarrow^* (c' \# cs', s'); c \downarrow s \rrbracket \Longrightarrow c' \downarrow s'$ $\langle proof \rangle$ **constdefs***termi-call-steps* :: $(state \times state) set$ $termi-call-steps \equiv \{(t, s). body \downarrow s \wedge (\exists cs. ([body], s) \rightarrow^* (CALL \# cs, t))\}$ **lemma** *lem*: $\{y. (a, y):r^+ \longrightarrow P a \longrightarrow P y \Longrightarrow ((b, a) : \{(y, x). P x \wedge (x, y):r\}^+) = ((b, a) : \{(y, x). P x \wedge (x, y):r^+\})$ $\langle proof \rangle$ **lemma** *renumber-aux*: $\llbracket \forall i. (a, f i) : r^* \wedge (f i, f(Suc i)) : r; (a, b) : r^* \rrbracket \Longrightarrow b = f 0 \longrightarrow (\exists f. f 0 = a$ $\& (\forall i. (f i, f(Suc i)) : r)$ $\langle proof \rangle$ **lemma** *renumber*: $\forall i. (a, f i) : r^* \wedge (f i, f(Suc i)) : r \Longrightarrow \exists f. f 0 = a \& (\forall i. (f i, f(Suc i)) : r)$ $\langle proof \rangle$ **constdefs** *inf* :: $com\ list \Rightarrow state \Rightarrow bool$ $inf\ cs\ s \equiv \exists f. f 0 = (cs, s) \wedge (\forall i. f i \rightarrow f(Suc i))$ **lemma** [*iff*]: $\neg inf \llbracket s$ $\langle proof \rangle$ **lemma** [*iff*]: $\neg inf [Do\ f] s$ $\langle proof \rangle$ **lemma** [*iff*]: $inf ((c1; c2) \# cs) s = inf (c1 \# c2 \# cs) s$ $\langle proof \rangle$ **lemma** [*iff*]: $inf ((IF\ b\ THEN\ c1\ ELSE\ c2) \# cs) s =$ $inf ((if\ b\ s\ then\ c1\ else\ c2) \# cs) s$ $\langle proof \rangle$

lemma *[simp]*:
 $\text{inf } ((\text{WHILE } b \text{ DO } c)\#cs) \text{ } s =$
 $(\text{if } b \text{ } s \text{ then } \text{inf } (c\#(\text{WHILE } b \text{ DO } c)\#cs) \text{ } s \text{ else } \text{inf } cs \text{ } s)$
 $\langle \text{proof} \rangle$

lemma *[iff]*: $\text{inf } (\text{CALL}\#cs) \text{ } s = \text{inf } (\text{body}\#cs) \text{ } s$
 $\langle \text{proof} \rangle$

lemma *[iff]*: $\text{inf } ((\text{LOCAL } f;c;g)\#cs) \text{ } s =$
 $\text{inf } (c\#\text{Do}(\lambda t. \{g \text{ } s \ t\})\#cs) \text{ } (f \text{ } s)$
 $\langle \text{proof} \rangle$

lemma *exec1-only1-aux*: $(ccs, s) \rightarrow (cs', t) \implies$
 $\forall c \text{ } cs. ccs = c\#cs \longrightarrow (\exists cs1. cs' = cs1 \text{ @ } cs)$
 $\langle \text{proof} \rangle$

lemma *exec1-only1*: $(c\#cs, s) \rightarrow (cs', t) \implies \exists cs1. cs' = cs1 \text{ @ } cs$
 $\langle \text{proof} \rangle$

lemma *exec1-drop-suffix-aux*:
 $(cs12, s) \rightarrow (cs1'2, s') \implies !cs1 \text{ } cs2 \text{ } cs1'$
 $cs12 = cs1 \text{ @ } cs2 \ \& \ cs1'2 = cs1' \text{ @ } cs2 \ \& \ cs1 \neq [] \longrightarrow (cs1, s) \rightarrow (cs1', s')$
 $\langle \text{proof} \rangle$

lemma *exec1-drop-suffix*:
 $(cs1 \text{ @ } cs2, s) \rightarrow (cs1' \text{ @ } cs2, s') \implies cs1 \neq [] \implies (cs1, s) \rightarrow (cs1', s')$
 $\langle \text{proof} \rangle$

lemma *execs-drop-suffix* *[rule-format(no-asm)]*:
 $\llbracket f \ 0 = (c\#cs, s); !i. f(i) \rightarrow f(\text{Suc } i) \rrbracket \implies$
 $(!i < k. p \ i \neq [] \ \& \ \text{fst}(f \ i) = p \ i \text{ @ } cs) \longrightarrow \text{fst}(f \ k) = p \ k \text{ @ } cs$
 $\longrightarrow ([c], s) \rightarrow^* (p \ k, \text{snd}(f \ k))$
 $\langle \text{proof} \rangle$

lemma *execs-drop-suffix0*:
 $\llbracket f \ 0 = (c\#cs, s); !i. f(i) \rightarrow f(\text{Suc } i); !i < k. p \ i \neq [] \ \& \ \text{fst}(f \ i) = p \ i \text{ @ } cs;$
 $\text{fst}(f \ k) = cs; p \ k = [] \rrbracket \implies ([c], s) \rightarrow^* ([], \text{snd}(f \ k))$
 $\langle \text{proof} \rangle$

lemma *skolemize1*: $\forall x. P \ x \longrightarrow (\exists y. Q \ x \ y) \implies \exists f. \forall x. P \ x \longrightarrow Q \ x \ (f \ x)$
 $\langle \text{proof} \rangle$

lemma *least-aux*: $\llbracket f \ 0 = (c \# cs, s); \forall i. f \ i \rightarrow f \ (\text{Suc } i);$
 $\text{fst}(f \ k) = cs; !i < k. \text{fst}(f \ i) \neq cs \rrbracket$
 $\implies \forall i \leq k. (\exists p. (p \neq []) = (i < k) \ \& \ \text{fst}(f \ i) = p \ \text{ @ } cs)$
 $\langle \text{proof} \rangle$

lemma *least-lem*: $\llbracket f \ 0 = (c\#cs, s); !i. f \ i \rightarrow f(\text{Suc } i); EX \ i. \text{fst}(f \ i) = cs \rrbracket$
 $\implies EX \ k. \text{fst}(f \ k) = cs \ \& \ ([c], s) \rightarrow^* ([], \text{snd}(f \ k))$

<proof>

lemma *skolemize2*: $\forall x.\exists y. P x y \implies \exists f.\forall x. P x (f x)$
<proof>

lemma *inf-cases*: $\text{inf } (c\#cs) s \implies \text{inf } [c] s \vee (\exists t. s -c \rightarrow t \wedge \text{inf } cs t)$
<proof>

lemma *termi-impl-not-inf*: $c \downarrow s \implies \neg \text{inf } [c] s$
<proof>

lemma *termi-impl-no-inf-chain*:
 $c \downarrow s \implies \neg(\exists f. f 0 = ([c],s) \wedge (\forall i::\text{nat}. (f i, f(i+1)) : \text{exec1}^+))$
<proof>

consts *cseq* :: $(\text{nat} \Rightarrow \text{state}) \Rightarrow \text{nat} \Rightarrow \text{com list}$

primrec

cseq *S* 0 = []

cseq *S* (*Suc* *i*) = (*SOME* *cs*. (*[body]*, *S* *i*) \rightarrow^* (*CALL* # *cs*, *S*(*i*+1))) @ *cseq* *S* *i*

lemma *wf-termi-call-steps*: *wf termi-call-steps*
<proof>

lemma *CALL-lemma*:

$\{(\lambda z s. (z=s \wedge \text{body} \downarrow s) \wedge (s,t) \in \text{termi-call-steps}, \text{CALL}, \lambda z s. z -\text{body} \rightarrow s)\} \vdash_t$
 $\{\lambda z s. (z=s \wedge \text{body} \downarrow t) \wedge (\exists cs. ([\text{body}],t) \rightarrow^* (c\#cs,s))\} c \{\lambda z s. z -c \rightarrow s\}$
<proof>

lemma *CALL-cor*:

$\{(\lambda z s. (z=s \wedge \text{body} \downarrow s) \wedge (s,t) \in \text{termi-call-steps}, \text{CALL}, \lambda z s. z -\text{body} \rightarrow s)\} \vdash_t$
 $\{\lambda z s. (z=s \wedge \text{body} \downarrow s) \wedge s = t\} \text{body} \{\lambda z s. z -\text{body} \rightarrow s\}$
<proof>

lemma *MGT-CALL*: $\{\} \vdash_t \text{MGT}_t \text{CALL}$
<proof>

theorem $\{\} \models_t \{P\}c\{Q\} \implies \{\} \vdash_t \{P\}c\{Q::\text{state assn}\}$
<proof>

end

4 Hoare Logics for Mutually Recursive Procedure

theory *PsLang* **imports** *Main* **begin**

4.1 The language

typeddecl *state*

typeddecl *pname*

types *bexp* = *state* \Rightarrow *bool*

datatype

com = *Do state* \Rightarrow *state set*

- | *Semi com com* (*-; - [60, 60] 10*)
- | *Cond bexp com com* (*IF - THEN - ELSE - 60*)
- | *While bexp com* (*WHILE - DO - 60*)
- | *CALL pname*
- | *Local (state \Rightarrow state) com (state \Rightarrow state \Rightarrow state)*
(LOCAL -; -; - [0,0,60] 60)

consts *body* :: *pname* \Rightarrow *com*

We generalize from a single procedure to a whole set of procedures following the ideas of von Oheimb [3]. The basic setup is modified only in a few places:

- We introduce a new basic type *pname* of procedure names.
- Constant *body* is now of type *pname* \Rightarrow *com*.
- The *CALL* command now has an argument of type *pname*, the name of the procedure that is to be called.

inductive

exec :: *state* \Rightarrow *com* \Rightarrow *state* \Rightarrow *bool* (*-/ ---/ - [50,0,50] 50*)

where

Do: $t \in f s \implies s -Do f \rightarrow t$

| *Semi*: $\llbracket s0 -c1 \rightarrow s1; s1 -c2 \rightarrow s2 \rrbracket \implies s0 -c1;c2 \rightarrow s2$

| *IfTrue*: $\llbracket b s; s -c1 \rightarrow t \rrbracket \implies s -IF b THEN c1 ELSE c2 \rightarrow t$

| *IfFalse*: $\llbracket \neg b s; s -c2 \rightarrow t \rrbracket \implies s -IF b THEN c1 ELSE c2 \rightarrow t$

| *WhileFalse*: $\neg b s \implies s -WHILE b DO c \rightarrow s$

| *WhileTrue*: $\llbracket b s; s -c \rightarrow t; t -WHILE b DO c \rightarrow u \rrbracket$
 $\implies s -WHILE b DO c \rightarrow u$

| *Call*: $s -body p \rightarrow t \implies s -CALL p \rightarrow t$

| *Local*: $f s -c \rightarrow t \implies s -LOCAL f; c; g \rightarrow g s t$

lemma [*iff*]: $(s -Do f \rightarrow t) = (t \in f s)$

<proof>

lemma [iff]: $(s -c; d \rightarrow u) = (\exists t. s -c \rightarrow t \wedge t -d \rightarrow u)$
 ⟨proof⟩

lemma [iff]: $(s -IF b THEN c ELSE d \rightarrow t) =$
 $(s -if b s then c else d \rightarrow t)$
 ⟨proof⟩

lemma [iff]: $(s -CALL p \rightarrow t) = (s -body p \rightarrow t)$
 ⟨proof⟩

lemma [iff]: $(s -LOCAL f; c; g \rightarrow u) = (\exists t. f s -c \rightarrow t \wedge u = g s t)$
 ⟨proof⟩

inductive

execn :: *state* ⇒ *com* ⇒ *nat* ⇒ *state* ⇒ *bool* (-/ -----/ - [50,0,0,50] 50)

where

Do: $t \in f s \implies s -Do f -n \rightarrow t$

| *Semi*: $\llbracket s0 -c0 -n \rightarrow s1; s1 -c1 -n \rightarrow s2 \rrbracket \implies s0 -c0; c1 -n \rightarrow s2$

| *IfTrue*: $\llbracket b s; s -c0 -n \rightarrow t \rrbracket \implies s -IF b THEN c0 ELSE c1 -n \rightarrow t$

| *IfFalse*: $\llbracket \neg b s; s -c1 -n \rightarrow t \rrbracket \implies s -IF b THEN c0 ELSE c1 -n \rightarrow t$

| *WhileFalse*: $\neg b s \implies s -WHILE b DO c -n \rightarrow s$

| *WhileTrue*: $\llbracket b s; s -c -n \rightarrow t; t -WHILE b DO c -n \rightarrow u \rrbracket$
 $\implies s -WHILE b DO c -n \rightarrow u$

| *Call*: $s -body p -n \rightarrow t \implies s -CALL p -Suc n \rightarrow t$

| *Local*: $f s -c -n \rightarrow t \implies s -LOCAL f; c; g -n \rightarrow g s t$

lemma [iff]: $(s -Do f -n \rightarrow t) = (t \in f s)$
 ⟨proof⟩

lemma [iff]: $(s -c1; c2 -n \rightarrow u) = (\exists t. s -c1 -n \rightarrow t \wedge t -c2 -n \rightarrow u)$
 ⟨proof⟩

lemma [iff]: $(s -IF b THEN c ELSE d -n \rightarrow t) =$
 $(s -if b s then c else d -n \rightarrow t)$
 ⟨proof⟩

lemma [iff]: $(s -CALL p - 0 \rightarrow t) = False$
 ⟨proof⟩

lemma [iff]: $(s -CALL p -Suc n \rightarrow t) = (s -body p -n \rightarrow t)$
 ⟨proof⟩

lemma *[iff]*: $(s \text{ -LOCAL } f; c; g \text{ -}n \rightarrow u) = (\exists t. f \text{ s -}c \text{ -}n \rightarrow t \wedge u = g \text{ s } t)$
 $\langle \text{proof} \rangle$

lemma *exec-mono* $[rule\text{-}format]$: $s \text{ -}c \text{ -}m \rightarrow t \implies \forall n. m \leq n \longrightarrow s \text{ -}c \text{ -}n \rightarrow t$
 $\langle \text{proof} \rangle$

lemma *exec-iff-execn*: $(s \text{ -}c \rightarrow t) = (\exists n. s \text{ -}c \text{ -}n \rightarrow t)$
 $\langle \text{proof} \rangle$

lemma *while-lemma* $[rule\text{-}format]$:
 $s \text{ -}w \text{ -}n \rightarrow t \implies !b \text{ c. } w = \text{WHILE } b \text{ DO } c \wedge P \text{ s } \wedge$
 $(!s \text{ s}'. P \text{ s } \wedge b \text{ s } \wedge s \text{ -}c \text{ -}n \rightarrow s' \longrightarrow P \text{ s}') \longrightarrow P \text{ t } \wedge \neg b \text{ t}$
 $\langle \text{proof} \rangle$

lemma *while-rule*:
 $\llbracket s \text{ -} \text{WHILE } b \text{ DO } c \text{ -}n \rightarrow t; P \text{ s}; \bigwedge s \text{ s}'. \llbracket P \text{ s}; b \text{ s}; s \text{ -}c \text{ -}n \rightarrow s' \rrbracket \implies P \text{ s} \rrbracket$
 $\implies P \text{ t } \wedge \neg b \text{ t}$
 $\langle \text{proof} \rangle$

end

theory *PsHoare* **imports** *PsLang* **begin**

4.2 Hoare logic for partial correctness

types $'a \text{ assn} = 'a \Rightarrow \text{state} \Rightarrow \text{bool}$
 $'a \text{ cntxt} = ('a \text{ assn} \times \text{com} \times 'a \text{ assn}) \text{set}$

constdefs

valid $:: 'a \text{ assn} \Rightarrow \text{com} \Rightarrow 'a \text{ assn} \Rightarrow \text{bool}$ ($\models \{(1-)\} / (-) / \{(1-)\} \text{ 50}$)
 $\models \{P\} c \{Q\} \equiv \forall s \text{ t } z. s \text{ -}c \rightarrow t \longrightarrow P \text{ z } s \longrightarrow Q \text{ z } t$

valids $:: 'a \text{ cntxt} \Rightarrow \text{bool}$ ($\models - \text{ 50}$)
 $\models D \equiv \forall (P, c, Q) \in D. \models \{P\} c \{Q\}$

nvalid $:: \text{nat} \Rightarrow 'a \text{ assn} \Rightarrow \text{com} \Rightarrow 'a \text{ assn} \Rightarrow \text{bool}$ ($\models - \{(1-)\} / (-) / \{(1-)\} \text{ 50}$)
 $\models^n \{P\} c \{Q\} \equiv \forall s \text{ t } z. s \text{ -}c \text{ -}n \rightarrow t \longrightarrow P \text{ z } s \longrightarrow Q \text{ z } t$

nvalids $:: \text{nat} \Rightarrow 'a \text{ cntxt} \Rightarrow \text{bool}$ ($\models^n - / - \text{ 50}$)
 $\models^n C \equiv \forall (P, c, Q) \in C. \models^n \{P\} c \{Q\}$

We now need an additional notion of validity $C \models D$ where D is a set as well. The reason is that we can now have mutually recursive procedures whose correctness needs to be established by simultaneous induction. Instead of sets of Hoare triples we may think of conjunctions. We define both $C \models D$ and its relativized version:

lemma *strengthen-pre*:

$\llbracket \forall z s. P' z s \longrightarrow P z s; C \vdash \{P\}c\{Q\} \rrbracket \Longrightarrow C \vdash \{P'\}c\{Q\}$
 $\langle proof \rangle$

lemma *MGT-implies-complete*:

$\{\} \Vdash \{MGT\ c\} \Longrightarrow \models \{P\}c\{Q\} \Longrightarrow \{\} \vdash \{P\}c\{Q::state\ assn\}$
 $\langle proof \rangle$

lemma *MGT-lemma*: $\forall p. C \Vdash \{MGT(CALL\ p)\} \Longrightarrow C \Vdash \{MGT\ c\}$
 $\langle proof \rangle$

lemma *MGT-body*: $(P, CALL\ p, Q) = MGT\ (CALL\ pa) \Longrightarrow C \Vdash \{MGT\ (body\ p)\} \Longrightarrow C \vdash \{P\}\ body\ p\ \{Q\}$
 $\langle proof \rangle$

declare *MGT-def*[*simp del*]

lemma *MGT-CALL*: $\{\} \Vdash \{mgt.\ \exists p. mgt = MGT(CALL\ p)\}$
 $\langle proof \rangle$

theorem *Complete*: $\models \{P\}c\{Q\} \Longrightarrow \{\} \vdash \{P\}c\{Q::state\ assn\}$
 $\langle proof \rangle$

end

theory *PsTermi* **imports** *PsLang* **begin**

4.3 Termination

inductive

termi :: *com* \Rightarrow *state* \Rightarrow *bool* (**infixl** \downarrow 50)

where

Do[*iff*]: $f\ s \neq \{\} \Longrightarrow Do\ f\ \downarrow\ s$
 $|$ *Semi*[*intro!*]: $\llbracket c1\ \downarrow\ s0; \bigwedge s1. s0 -c1 \rightarrow s1 \rrbracket \Longrightarrow c2\ \downarrow\ s1 \rrbracket$
 $\Longrightarrow (c1;c2)\ \downarrow\ s0$

$|$ *IfTrue*[*intro,simp*]: $\llbracket b\ s; c1\ \downarrow\ s \rrbracket \Longrightarrow IF\ b\ THEN\ c1\ ELSE\ c2\ \downarrow\ s$
 $|$ *IfFalse*[*intro,simp*]: $\llbracket \neg b\ s; c2\ \downarrow\ s \rrbracket \Longrightarrow IF\ b\ THEN\ c1\ ELSE\ c2\ \downarrow\ s$

$|$ *WhileFalse*: $\neg b\ s \Longrightarrow WHILE\ b\ DO\ c\ \downarrow\ s$

$|$ *WhileTrue*: $\llbracket b\ s; c\ \downarrow\ s; \bigwedge t. s -c \rightarrow t \rrbracket \Longrightarrow WHILE\ b\ DO\ c\ \downarrow\ t \rrbracket$
 $\Longrightarrow WHILE\ b\ DO\ c\ \downarrow\ s$

$|$ *body p*: $\downarrow\ s \Longrightarrow CALL\ p\ \downarrow\ s$

$|$ *Local*: $c\ \downarrow\ f\ s \Longrightarrow LOCAL\ f;c;g\ \downarrow\ s$

lemma [iff]: $(Do\ f\ \downarrow\ s) = (f\ s \neq \{\})$
 <proof>

lemma [iff]: $((c1;c2)\ \downarrow\ s0) = (c1\ \downarrow\ s0 \wedge (\forall s1. s0 -c1 \rightarrow s1 \longrightarrow c2\ \downarrow\ s1))$
 <proof>

lemma [iff]: $(IF\ b\ THEN\ c1\ ELSE\ c2\ \downarrow\ s) =$
 $((if\ b\ s\ then\ c1\ else\ c2)\ \downarrow\ s)$
 <proof>

lemma [iff]: $(CALL\ p\ \downarrow\ s) = (body\ p\ \downarrow\ s)$
 <proof>

lemma [iff]: $(LOCAL\ f;c;g\ \downarrow\ s) = (c\ \downarrow\ f\ s)$
 <proof>

lemma *termi-while-lemma*[rule-format]:

$w\ \downarrow\ fk \implies$
 $(\forall k\ b\ c. fk = f\ k \wedge w = WHILE\ b\ DO\ c \wedge (\forall i. f\ i -c \rightarrow f(Suc\ i))$
 $\longrightarrow (\exists i. \neg b(f\ i)))$
 <proof>

lemma *termi-while*:

$\llbracket (WHILE\ b\ DO\ c)\ \downarrow\ f\ k; \forall i. f\ i -c \rightarrow f(Suc\ i) \rrbracket \implies \exists i. \neg b(f\ i)$
 <proof>

lemma *wf-termi*: $wf\ \{(t,s). WHILE\ b\ DO\ c\ \downarrow\ s \wedge b\ s \wedge s -c \rightarrow t\}$
 <proof>

end

theory *PsHoareTotal* **imports** *PsHoare PsTermi* **begin**

4.4 Hoare logic for total correctness

constdefs

tvalid :: 'a *assn* \Rightarrow *com* \Rightarrow 'a *assn* \Rightarrow *bool* ($\models_t \{(1-)\} / (-) / \{(1-)\} 50$)
 $\models_t \{P\}c\{Q\} \equiv \models \{P\}c\{Q\} \wedge (\forall z\ s. P\ z\ s \longrightarrow c\ \downarrow\ s)$

valids :: 'a *cntxt* \Rightarrow *bool* ($\models_t - 50$)
 $\models_t D \equiv \forall (P,c,Q) \in D. \models_t \{P\}c\{Q\}$

ctvalid :: 'a *cntxt* \Rightarrow 'a *assn* \Rightarrow *com* \Rightarrow 'a *assn* \Rightarrow *bool*
 $((- / \models_t \{(1-)\} / (-) / \{(1-)\} 50)$
 $C \models_t \{P\}c\{Q\} \equiv \models_t C \longrightarrow \models_t \{P\}c\{Q\}$

cvalids :: 'a cntxt \Rightarrow 'a cntxt \Rightarrow bool (- \Vdash_t / - 50)
 $C \Vdash_t D \equiv \Vdash_t C \longrightarrow \Vdash_t D$

inductive

thoare :: 'a cntxt \Rightarrow 'a cntxt \Rightarrow bool ((- \Vdash_t / -) 50)
and *thoare'* :: 'a cntxt \Rightarrow 'a assn \Rightarrow com \Rightarrow 'a assn \Rightarrow bool
 ((- \Vdash_t / ({(1-)} / (-) / {(1-)})) [50,0,0,0] 50)

where

$C \vdash_t \{P\}c\{Q\} \equiv C \Vdash_t \{(P,c,Q)\}$
 | *Do*: $C \vdash_t \{\lambda z s. (\forall t \in fs. P z t) \wedge fs \neq \{\}\} \text{ Do } f \{P\}$
 | *Semi*: $\llbracket C \vdash_t \{P\}c1\{Q\}; C \vdash_t \{Q\}c2\{R\} \rrbracket \Longrightarrow C \vdash_t \{P\} c1;c2 \{R\}$
 | *If*: $\llbracket C \vdash_t \{\lambda z s. P z s \wedge b s\}c\{Q\}; C \vdash_t \{\lambda z s. P z s \wedge \sim b s\}d\{Q\} \rrbracket \Longrightarrow$
 $C \vdash_t \{P\} \text{ IF } b \text{ THEN } c \text{ ELSE } d \{Q\}$
 | *While*:
 $\llbracket wf r; \forall s'. C \vdash_t \{\lambda z s. P z s \wedge b s \wedge s' = s\} c \{\lambda z s. P z s \wedge (s,s') \in r\} \rrbracket$
 $\Longrightarrow C \vdash_t \{P\} \text{ WHILE } b \text{ DO } c \{\lambda z s. P z s \wedge \sim b s\}$

| *Call*:

$\llbracket wf r;$
 $\forall q \text{ pre.}$
 $(\bigcup p. \{(\lambda z s. P p z s \wedge ((p,s),(q,pre)) \in r, \text{CALL } p, Q p)\})$
 $\vdash_t \{\lambda z s. P q z s \wedge s = \text{pre}\} \text{ body } q \{Q q\} \rrbracket$
 $\Longrightarrow \{\} \Vdash_t \bigcup p. \{(P p, \text{CALL } p, Q p)\}$

| *Asm*: $(P, \text{CALL } p, Q) \in C \Longrightarrow C \vdash_t \{P\} \text{ CALL } p \{Q\}$

| *Conseq*:

$\llbracket C \vdash_t \{P'\}c\{Q'\};$
 $(\forall s t. (\forall z. P' z s \longrightarrow Q' z t) \longrightarrow (\forall z. P z s \longrightarrow Q z t)) \wedge$
 $(\forall s. (\exists z. P z s) \longrightarrow (\exists z. P' z s)) \rrbracket$
 $\Longrightarrow C \vdash_t \{P\}c\{Q\}$

| *ConjI*: $\forall (P,c,Q) \in D. C \vdash_t \{P\}c\{Q\} \Longrightarrow C \Vdash_t D$

| *ConjE*: $\llbracket C \Vdash_t D; (P,c,Q) \in D \rrbracket \Longrightarrow C \vdash_t \{P\}c\{Q\}$

| *Local*: $\llbracket \forall s'. C \vdash_t \{\lambda z s. P z s' \wedge s = f s'\} c \{\lambda z t. Q z (g s' t)\} \rrbracket \Longrightarrow$
 $C \vdash_t \{P\} \text{ LOCAL } f;c;g \{Q\}$

monos *split-beta*

lemma *strengthen-pre*:

$\llbracket \forall z s. P' z s \longrightarrow P z s; C \vdash_t \{P\}c\{Q\} \rrbracket \Longrightarrow C \vdash_t \{P'\}c\{Q\}$
 <proof>

lemma *weaken-post*:

$\llbracket C \vdash_t \{P\}c\{Q\}; \forall z s. Q z s \longrightarrow Q' z s \rrbracket \Longrightarrow C \vdash_t \{P\}c\{Q'\}$
 <proof>

theorems *tvalid-defs* = *tvalid-def* *ctvalid-def* *valids-def* *cvalids-def* *valid-defs*

lemma [*iff*]:
 $(\models_t \{\lambda z s. \exists n. P n z s\}c\{Q\}) = (\forall n. \models_t \{P n\}c\{Q\})$
<proof>

lemma [*iff*]:
 $(\models_t \{\lambda z s. P z s \wedge P'\}c\{Q\}) = (P' \longrightarrow \models_t \{P\}c\{Q\})$
<proof>

lemma [*iff*]: $(\models_t \{P\}CALL p\{Q\}) = (\models_t \{P\}body p\{Q\})$
<proof>

lemma *unfold-while*:
 $(s - WHILE b DO c \rightarrow u) =$
 $(s - IF b THEN c; WHILE b DO c ELSE Do(\lambda s. \{s\}) \rightarrow u)$
<proof>

theorem $C \Vdash_t D \implies C \Vdash_t D$
<proof>

constdefs $MGT_t \quad :: com \implies state\ assn \times com \times state\ assn$
 $MGT_t c \equiv (\lambda z s. z = s \wedge c \downarrow s, c, \lambda z t. z -c \rightarrow t)$
declare $MGT_t\text{-def}[simp]$

lemma *MGT-implies-complete*:
 $\{\} \Vdash_t \{MGT_t c\} \implies \{\} \Vdash_t \{P\}c\{Q\} \implies \{\} \Vdash_t \{P\}c\{Q::state\ assn\}$
<proof>

lemma *while-termiE*: $\llbracket WHILE b DO c \downarrow s; b s \rrbracket \implies c \downarrow s$
<proof>

lemma *while-termiE2*: $\llbracket WHILE b DO c \downarrow s; b s; s -c \rightarrow t \rrbracket \implies WHILE b DO c \downarrow t$
<proof>

lemma *MGT-lemma*: $\forall p. \{\} \Vdash_t \{MGT_t(CALL p)\} \implies \{\} \Vdash_t \{MGT_t c\}$
<proof>

inductive-set

$exec1 \quad :: ((com\ list \times state) \times (com\ list \times state))set$
and $exec1' \quad :: (com\ list \times state) \Rightarrow (com\ list \times state) \Rightarrow bool \quad (- \rightarrow - [81,81]$
 $100)$

where

$cs0 \rightarrow cs1 \equiv (cs0, cs1) : exec1$

| *Do*[*iff*]: $t \in f s \implies ((Do f)\#cs, s) \rightarrow (cs, t)$

| *Semi*[*iff*]: $((c1;c2)\#cs, s) \rightarrow (c1\#c2\#cs, s)$

| *IfTrue*: $b \ s \Longrightarrow ((IF \ b \ THEN \ c1 \ ELSE \ c2)\#cs,s) \rightarrow (c1\#cs,s)$
| *IfFalse*: $\neg b \ s \Longrightarrow ((IF \ b \ THEN \ c1 \ ELSE \ c2)\#cs,s) \rightarrow (c2\#cs,s)$

| *WhileFalse*: $\neg b \ s \Longrightarrow ((WHILE \ b \ DO \ c)\#cs,s) \rightarrow (cs,s)$
| *WhileTrue*: $b \ s \Longrightarrow ((WHILE \ b \ DO \ c)\#cs,s) \rightarrow (c\#(WHILE \ b \ DO \ c)\#cs,s)$

| *Call*[*iff*]: $(CALL \ p\#cs,s) \rightarrow (body \ p\#cs,s)$

| *Local*[*iff*]: $((LOCAL \ f;c;g)\#cs,s) \rightarrow (c \# Do(\lambda t. \{g \ s \ t\})\#cs, f \ s)$

abbreviation

exectr :: $(com \ list \times \ state) \Rightarrow (com \ list \times \ state) \Rightarrow bool \ (- \rightarrow^* \ - \ [81,81] \ 100)$
where $cs0 \rightarrow^* cs1 \equiv (cs0,cs1) : exec1 \hat{\ }^*$

inductive-cases *exec1E*[*elim!*]:

$([],s) \rightarrow (cs',s')$
 $(Do \ f\#cs,s) \rightarrow (cs',s')$
 $((c1;c2)\#cs,s) \rightarrow (cs',s')$
 $((IF \ b \ THEN \ c1 \ ELSE \ c2)\#cs,s) \rightarrow (cs',s')$
 $((WHILE \ b \ DO \ c)\#cs,s) \rightarrow (cs',s')$
 $(CALL \ p\#cs,s) \rightarrow (cs',s')$
 $((LOCAL \ f;c;g)\#cs,s) \rightarrow (cs',s')$

lemma [*iff*]: $\neg (([],s) \rightarrow u)$
 $\langle proof \rangle$

lemma *app-exec*: $(cs,s) \rightarrow (cs',s') \Longrightarrow (cs@cs2,s) \rightarrow (cs'@cs2,s')$
 $\langle proof \rangle$

lemma *app-execs*: $(cs,s) \rightarrow^* (cs',s') \Longrightarrow (cs@cs2,s) \rightarrow^* (cs'@cs2,s')$
 $\langle proof \rangle$

lemma *exec-impl-execs*[*rule-format*]:

$s -c \rightarrow s' \Longrightarrow \forall cs. (c\#cs,s) \rightarrow^* (cs,s')$
 $\langle proof \rangle$

inductive

execs :: $state \Rightarrow com \ list \Rightarrow state \Rightarrow bool \ (- / \ ==\Rightarrow / \ - \ [50,0,50] \ 50)$

where

$s = [] \Rightarrow s$
| $s -c \rightarrow t \Longrightarrow t = cs \Rightarrow u \Longrightarrow s = c\#cs \Rightarrow u$

inductive-cases [*elim!*]:

$s = [] \Rightarrow t$
 $s = c\#cs \Rightarrow t$

theorem *exec1s-impl-execs*: $(cs,s) \rightarrow^* ([],t) \Longrightarrow s = cs \Rightarrow t$
 $\langle proof \rangle$

theorem *exec1s-impl-exec*: $([c],s) \rightarrow^* ([],t) \implies s -c \rightarrow t$
 $\langle \text{proof} \rangle$

consts *termis* :: *com list* \implies *state* \implies *bool* (**infixl** \Downarrow 60)

primrec

$\Downarrow s = \text{True}$

$c \# cs \Downarrow s = (c \Downarrow s \wedge (\forall t. s -c \rightarrow t \longrightarrow cs \Downarrow t))$

lemma *exec1-pres-termis*: $(cs,s) \rightarrow (cs',s') \implies cs \Downarrow s \longrightarrow cs' \Downarrow s'$
 $\langle \text{proof} \rangle$

lemma *execs-pres-termis*: $(cs,s) \rightarrow^* (cs',s') \implies cs \Downarrow s \longrightarrow cs' \Downarrow s'$
 $\langle \text{proof} \rangle$

lemma *execs-pres-termi*: $\llbracket ([c],s) \rightarrow^* (c' \# cs',s'); c \Downarrow s \rrbracket \implies c' \Downarrow s'$
 $\langle \text{proof} \rangle$

constdefs

termi-call-steps :: $((pname \times state) \times (pname \times state)) \text{set}$

termi-call-steps \equiv

$\{((q,t),(p,s)). \text{body } p \Downarrow s \wedge (\exists cs. ([\text{body } p], s) \rightarrow^* (\text{CALL } q \# cs, t))\}$

lemma *lem*:

$!y. (a,y):r^+ \longrightarrow P a \longrightarrow P y \implies ((b,a) : \{(y,x). P x \wedge (x,y):r^+\}^+) = ((b,a) : \{(y,x). P x \wedge (x,y):r^+\})$

$\langle \text{proof} \rangle$

lemma *renumber-aux*:

$\llbracket \forall i. (a,f i) : r^* \wedge (f i, f(\text{Suc } i)) : r; (a,b) : r^* \rrbracket \implies b = f 0 \longrightarrow (\exists f. f 0 = a$

$\& (\forall i. (f i, f(\text{Suc } i)) : r)$

$\langle \text{proof} \rangle$

lemma *renumber*:

$\forall i. (a,f i) : r^* \wedge (f i, f(\text{Suc } i)) : r \implies \exists f. f 0 = a \& (\forall i. (f i, f(\text{Suc } i)) : r)$

$\langle \text{proof} \rangle$

constdefs *inf* :: *com list* \implies *state* \implies *bool*

inf cs s == $\exists f. f 0 = (cs,s) \wedge (\forall i. f i \rightarrow f(\text{Suc } i))$

lemma [*iff*]: $\neg \text{inf } [] s$

$\langle \text{proof} \rangle$

lemma [*iff*]: $\neg \text{inf } [\text{Do } f] s$

$\langle \text{proof} \rangle$

lemma [iff]: $\text{inf} ((c1;c2)\#cs) s = \text{inf} (c1\#c2\#cs) s$
 ⟨proof⟩

lemma [iff]: $\text{inf} ((IF b THEN c1 ELSE c2)\#cs) s =$
 $\text{inf} ((if b s then c1 else c2)\#cs) s$
 ⟨proof⟩

lemma [simp]:
 $\text{inf} ((WHILE b DO c)\#cs) s =$
 $(if b s then \text{inf} (c\#(WHILE b DO c)\#cs) s else \text{inf} cs s)$
 ⟨proof⟩

lemma [iff]: $\text{inf} (CALL p\#cs) s = \text{inf} (body p\#cs) s$
 ⟨proof⟩

lemma [iff]: $\text{inf} ((LOCAL f;c;g)\#cs) s =$
 $\text{inf} (c\#Do(\lambda t. \{g s t\})\#cs) (f s)$
 ⟨proof⟩

lemma *exec1-only1-aux*: $(ccs,s) \rightarrow (cs',t) \implies$
 $\forall c cs. ccs = c\#cs \longrightarrow (\exists cs1. cs' = cs1 @ cs)$
 ⟨proof⟩

lemma *exec1-only1*: $(c\#cs,s) \rightarrow (cs',t) \implies \exists cs1. cs' = cs1 @ cs$
 ⟨proof⟩

lemma *exec1-drop-suffix-aux*:
 $(cs12,s) \rightarrow (cs1'2,s') \implies !cs1 cs2 cs1'$
 $cs12 = cs1 @ cs2 \ \& \ cs1'2 = cs1' @ cs2 \ \& \ cs1 \neq [] \longrightarrow (cs1,s) \rightarrow (cs1',s')$
 ⟨proof⟩

lemma *exec1-drop-suffix*:
 $(cs1 @ cs2,s) \rightarrow (cs1' @ cs2,s') \implies cs1 \neq [] \implies (cs1,s) \rightarrow (cs1',s')$
 ⟨proof⟩

lemma *execs-drop-suffix*[*rule-format(no-asm)*]:
 $\llbracket f 0 = (c\#cs,s); !i. f(i) \rightarrow f(Suc i) \rrbracket \implies$
 $(!i < k. p i \neq [] \ \& \ fst(f i) = p i @ cs) \longrightarrow fst(f k) = p k @ cs$
 $\longrightarrow ([c],s) \rightarrow^* (p k, snd(f k))$
 ⟨proof⟩

lemma *execs-drop-suffix0*:
 $\llbracket f 0 = (c\#cs,s); !i. f(i) \rightarrow f(Suc i); !i < k. p i \neq [] \ \& \ fst(f i) = p i @ cs;$
 $\quad fst(f k) = cs; p k = [] \rrbracket \implies ([c],s) \rightarrow^* ([], snd(f k))$
 ⟨proof⟩

lemma *skolemize1*: $\forall x. P x \longrightarrow (\exists y. Q x y) \implies \exists f. \forall x. P x \longrightarrow Q x (f x)$
 ⟨proof⟩

lemma least-aux: $\llbracket f\ 0 = (c\ \#\ cs,\ s); \forall i. f\ i \rightarrow f\ (Suc\ i);$
 $fst(f\ k) = cs; \forall i < k. fst(f\ i) \neq cs \rrbracket$
 $\implies \forall i \leq k. (\exists p. (p \neq \llbracket \rrbracket) = (i < k) \ \& \ fst(f\ i) = p\ @\ cs)$
 $\langle proof \rangle$

lemma least-lem: $\llbracket f\ 0 = (c\ \#\ cs,\ s); \forall i. f\ i \rightarrow f\ (Suc\ i); EX\ i. fst(f\ i) = cs \rrbracket$
 $\implies EX\ k. fst(f\ k) = cs \ \& \ ([c],s) \rightarrow^* (\llbracket \rrbracket, snd(f\ k))$
 $\langle proof \rangle$

lemma skolemize2: $\forall x. \exists y. P\ x\ y \implies \exists f. \forall x. P\ x\ (f\ x)$
 $\langle proof \rangle$

lemma inf-cases: $inf\ (c\ \#\ cs)\ s \implies inf\ [c]\ s \vee (\exists t. s\ -c \rightarrow t \wedge inf\ cs\ t)$
 $\langle proof \rangle$

lemma termi-impl-not-inf: $c\ \downarrow\ s \implies \neg\ inf\ [c]\ s$
 $\langle proof \rangle$

lemma termi-impl-no-inf-chain:
 $c\ \downarrow\ s \implies \neg(\exists f. f\ 0 = ([c],s) \wedge (\forall i::nat. (f\ i, f\ (i+1)) : exec1^+))$
 $\langle proof \rangle$

consts $cseq :: (nat \Rightarrow pname \times state) \Rightarrow nat \Rightarrow com\ list$
primrec
 $cseq\ S\ 0 = \llbracket \rrbracket$
 $cseq\ S\ (Suc\ i) = (SOME\ cs. ([body(fst(S\ i))], snd(S\ i)) \rightarrow^*$
 $(CALL(fst(S\ (i+1)))\ \#\ cs, snd(S\ (i+1)))) @\ cseq\ S\ i$

lemma wf-termi-call-steps: $wf\ termi\ call\ steps$
 $\langle proof \rangle$

lemma CALL-lemma:
 $(\bigcup p. \{(\lambda z\ s. (z=s \wedge body\ p\ \downarrow\ s) \wedge ((p,s),(q,pre)) \in termi\ call\ steps, CALL\ p,$
 $\lambda z\ s. z\ -body\ p \rightarrow s)\} \vdash_t$
 $\{\lambda z\ s. (z=s \wedge body\ q\ \downarrow\ pre) \wedge (\exists cs. ([body\ q],pre) \rightarrow^* (c\ \#\ cs,s))\} c$
 $\{\lambda z\ s. z\ -c \rightarrow s\}$
 $\langle proof \rangle$

lemma CALL-cor:
 $(\bigcup p. \{(\lambda z\ s. (z=s \wedge body\ p\ \downarrow\ s) \wedge ((p,s),(q,pre)) \in termi\ call\ steps, CALL\ p,$
 $\lambda z\ s. z\ -body\ p \rightarrow s)\} \vdash_t$
 $\{\lambda z\ s. (z=s \wedge body\ q\ \downarrow\ s) \wedge s = pre\} body\ q\ \{\lambda z\ s. z\ -body\ q \rightarrow s\}$
 $\langle proof \rangle$

lemma MGT-CALL: $\{\} \vdash_t (\bigcup p. \{MGT_t(CALL\ p)\})$
 $\langle proof \rangle$

lemma MGT-CALL1: $\forall p. \{\} \vdash_t \{MGT_t(CALL\ p)\}$

<proof>

theorem $\{\} \models_t \{P\}c\{Q\} \implies \{\} \vdash_t \{P\}c\{Q::state\ assn\}$

<proof>

end

References

- [1] T. Nipkow. Hoare logics for recursive procedures and unbounded non-determinism. In J. Bradfield, editor, *Computer Science Logic (CSL 2002)*, volume 2471, pages 103–119, 2002.
- [2] T. Nipkow. Hoare logics in Isabelle/HOL. In H. Schwichtenberg and R. Steinbruggen, editors, *Proof and System-Reliability*, pages 341–367. Kluwer, 2002.
- [3] D. v. Oheimb. Hoare logic for mutual recursion and local variables. In C. P. Rangan, V. Raman, and R. Ramanujam, editors, *Foundations of Software Technology and Theoretical Computer Science (FST&TCS)*, volume 1738, pages 168–180, 1999.